



CONTRA COSTA TRANSPORTATION AUTHORITY

Exhibit 9-B Local Agency DBE Annual Submittal Form

COMMISSIONERS:

Charlie Abrams,
Chair

Dave Hudson,
Vice Chair

Janet Abelson

Susan Bonilla

Donald P. Freitas

Federal Glover

Brad Nix

Julie Pierce

Karen Stepper

Don Tatzin

Maria Viramontes

Robert K. McCleary
Executive Director

TO: CALTRANS DISTRICT 4
District Local Assistance Engineer

The amount of the Annual Anticipated DBE Participation Level (AADPL) and methodology are presented herein, in accordance with Title 49 of the Code of Federal Regulations, Part 26, and the State of California, Department of Transportation Disadvantaged Business Enterprise (DBE) Program Plan.

The Special District of Contra Costa Transportation Authority, submits our AADPL information. We have established an AADPL of 7.1 % for the Federal Fiscal Year 07 / 08, beginning on October 1, 2007, and ending on September 30, 2008.

Methodology

Please see Attachment A.

Disadvantaged Business Enterprise Liaison Officer (DBELO)

David Murray

3478 Buskirk Ave., Suite 100

Pleasant Hill, CA 94523

(925) 256-4725

(925) 407-0131

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Prompt Pay

CCTA will use the following method to ensure prompt and full payment of any retainage:

The agency shall hold retainage from the prime contractor and shall make prompt and regular incremental acceptances of portions, as determined by the agency of the contract work and pay retainage to the prime contractor based on these acceptances. The prime contractor or subcontractor shall return all monies withheld in retention from all subcontractors within 30 days after receiving payment for work satisfactorily completed and accepted including incremental acceptances of portions of the contract work by the agency. Any delay or postponement of payment may take place only for good cause and with the agency's prior written approval. Any violation of these provisions shall subject the violating prime contractor to the penalties, sanctions, and other remedies specified in Section 7108.5 of the California Business and Professions Code. This requirement shall not be construed to limit or impair any contractual, administrative, or judicial remedies otherwise available to the contractor or subcontractor in the event of: a dispute involving late payment or nonpayment by the contractor; deficient subcontractor performance and/or noncompliance by a subcontractor. This clause applies to both DBE and non-DBE subcontractors.

3478 Buskirk Ave.
Suite 100

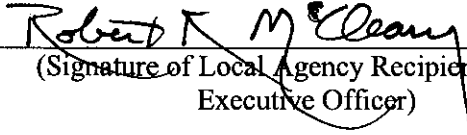
Pleasant Hill
CA 94523

PHONE:
925/407-0121

FAX:
925/407-0128

<http://www.ccta.net>

Submitted by:



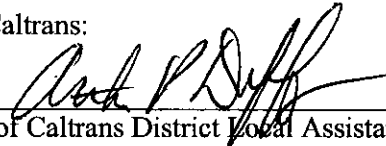
(Signature of Local Agency Recipient's Chief
Executive Officer)

June 18, 2007
Date

Robert K. McCleary
(Print Name of Local Agency Recipient's Chief
Executive Officer)

(925) 256-4724
Phone Number

Reviewed by Caltrans:



(Signature of Caltrans District Local Assistance
Engineer [DLAE])

7-11-07
Date

Distribution: (1) Original -DLAE
(2) Copy-local agency after signing by DLAE

BE Annual Submittal Form (05/01/06)

Attachment A

CCTA AADPL Development Assumptions

The following federally-funded project is currently scheduled for FY 2007/2008 and was used in the development of the AADPL:

Projects	Estimated Federal Funding
STP-CMP Planning Funds	\$595,000
Total	\$595,000

Methodology:

Step 1 - Establishing the Base Goal

The main categories of work (North American Industrial Classification System [NAICS]) applicable to planning and design activities were defined and assigned a percentage of the total project. The total DBEs (by NAICS code) defined as willing to work either in Contra Costa County or statewide code SW were identified. This data was then refined to DBE subcontractors based within the 4-county area (Alameda, Contra Costa, San Francisco, and Santa Clara), based on the Authority's experience with subconsultants on federally-funded projects over the last three years. (See Step 1 Source Data). This analysis resulted in a base goal of 7.1%.

Step 2 - Adjusting the Base Goal

After establishing the base goal, the Contra Costa Transportation Authority reviewed and assessed other known relevant evidence to determine what additional adjustments, if any, were needed to narrowly tailor the base goal to CCTA's marketplace and contracting program. The Authority reviewed subconsultant performance on federally-funded contracts over the past six years to determine actual participation. This demonstrated an actual participation of 26.45% (See Step 2 Adjustments). Of the seven contracts analyzed, three accounted for 100% of DBE participation. Because of the broad spectrum of participation, it would appear that, in this instance, past participation is not a reliable indicator of future DBE participation. Therefore, the Authority determined that past performance should not be used in determining the overall DBE goal.

Step 3 - AADPL

In consideration of all the evidence described above, as well as our knowledge of our contracting market, the Authority has determined that the AADPL for FHWA-assisted Authority projects in FY 2007/2008 is 7.1%, reflecting projected market availability of DBE firms.

CCTA
Step 1 of DBE Goal Development
Comparison of DBEs to All Available Contractors within 4 County Area
FY 2007/2008

Project Description	Federal Funds	% of work relating to NAICS	Federal Funds per specific NAICS	NAICS code	Relative Availability of DBE to Total Firms ¹	Total \$ of work to be possibly subcontracted to DBE
	\$595,000					
STP-CMP Planning Funds		100.0%	\$595,000	541330	7.1%	\$41,992
Totals			\$595,000			\$41,992
					Base Goal:	7.1%

¹ No. DBE Firms / All Firms (See Step 1 Source Data worksheet)

Data Sources:

Caltrans Business Enterprise Program: Search for Certified DBEs

<http://www.dot.ca.gov/ucp/GetLicenseForm.do>

NAICS - North American Industrial Classification System codes - Census Bureau Data website

<http://censtats.census.gov/cbpnaic/cbpnaic.shtml>

CCTA
DBE to Available Contractors for DBE Goal Development
Comparison of DBEs to All Available Contractors within 4 County Area
FY 2007/2008
Step 1 - Source Data

Engineering Services
NAICS = 541330

Counties:	# of DBE firms	Total # for all businesses
Alameda	33	410
Contra Costa	28	336
San Francisco	38	261
Santa Clara	19	665
Total	118	1672
% DBE / All Businesses		7.1%

Source data:

DBE Firms - Derived from Caltrans DBE Query

All Firms - Derived from Census data per NAICS per County

FY 2007/2008
Step 2 - DBE Participation on Past Planning Contracts
(FY 2001/2002 - FY 2006/2007)

Contract No.	Consultant	From	To	Total Paid	Paid to DBE
CT133	Cambridge Systematics	01-Jul-01	30-Jun-03	484,714.67	-
CT134	Economic and Planning Systems	01-Jul-01	05-Jul-06	194,708.31	-
CT151	PH Associates Transportation Consultants	01-Jul-02	27-Jun-06	110,400.00	-
CT160	Dyett & Bhatia	12-Feb-03	23-Jan-07	422,566.23	288,566.06
CT162	Kimley-Horn	01-Mar-03	31-May-04	185,016.81	90,420.00
CT165	Dyett & Bhatia	31-Jul-03	31-May-04	180,500.00	85,440.92
CT167	Cambridge Systematics	28-Nov-03	21-Mar-05	178,152.10	-
Total				\$1,756,058.12	\$464,426.98
DBE Participation as Percentage of Total					26.45%