

## **Decennial Model Update**

*CCTA Model User's Guide*

final  
report

*prepared for*

**Contra Costa Transportation Authority**

*prepared by*

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# 1.0 Introduction

The Decennial Model Update was a process to update current models being used for transportation planning applications in Contra Costa County. This process involved implementing the recommendations in the Modeling Evaluation Study, which addressed the planning and modeling needs of the Contra Costa Transportation Authority (CCTA) over the next 10 years.

This report is one of the following five reports written to document the work completed during the Decennial Model Update study:

1. Executive Summary,
2. CCTA Travel Model Documentation,
3. CCTA Travel Model User's Guide,
4. CCTA Travel Model Technical Appendices, and
5. MTC Consistency Report.

The purpose of this report is to provide technical guidance on the use of the models, including documenting new software procedures developed as part of this study. The purpose of the executive summary is to provide a brief overview of the study and summaries of validation and forecasting results. The purpose of the model documentation is to document the process of preparing the CCTA Travel Model and to provide results of the validation and forecasting model runs. The purpose of the technical appendices is to provide technical details required in the model documentation and user's guide that are too voluminous to be placed within these reports. The purpose of the MTC consistency report is to compare the CCTA travel model results with the MTC model results for each of the model components required by the MTC.

## 2.0 TransCAD® Interface

### ■ 2.1 Introduction

The Contra Costa custom application performs the following transportation planning procedures:

- Highway and transit initialization,
- Trip generation,
- Highway and transit network skimming,
- Trip distribution,
- Modal split,
- Highway and transit trip assignment, and
- Feedback looping.

The specifics of these models are described in a separate documentation manual. In this User's Guide, you will learn how to setup scenarios, run the models, and view the output.

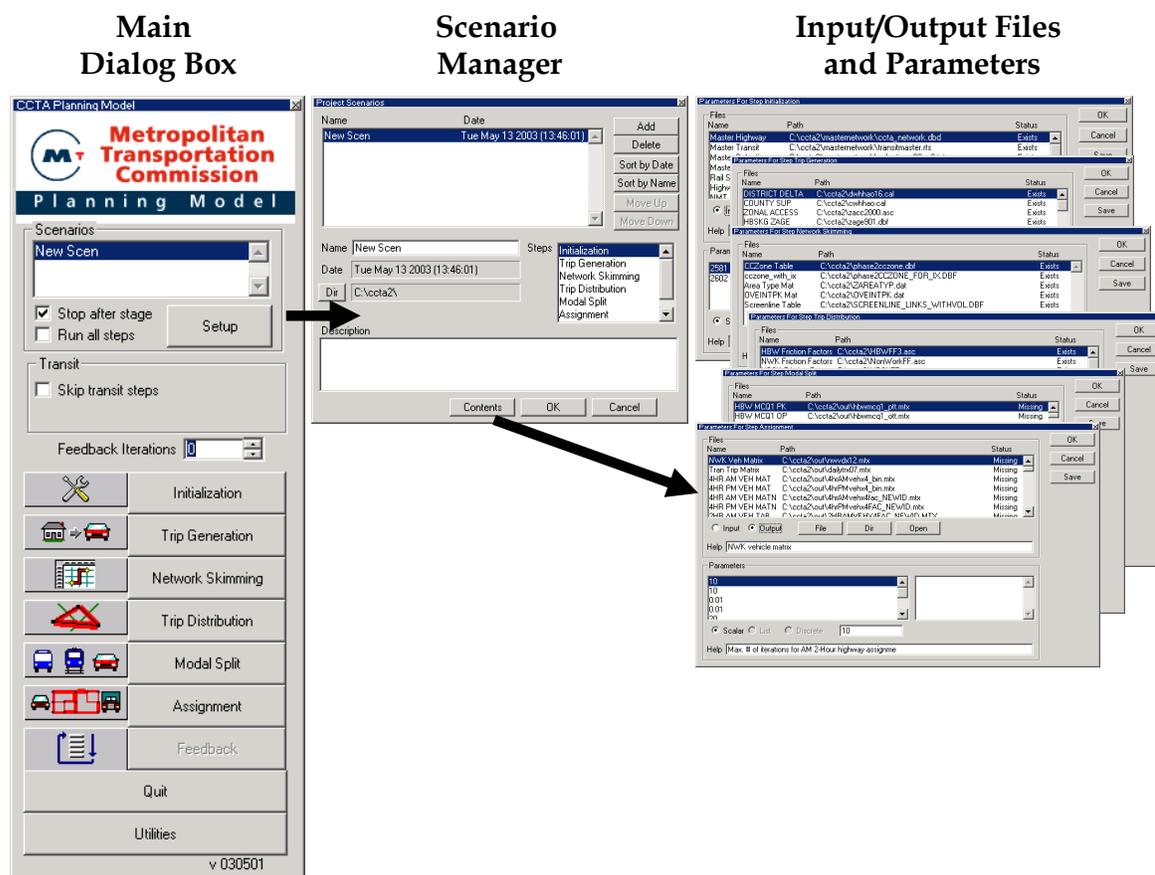
The Add-in allows you to store any number of scenarios. For example, you may want to have a Base Year Scenario and a Year 2025 Scenario. Scenarios are defined by a scenario name, a set of input files, output files, and model parameters, and there are special features in the Add-in to assist in setting up scenarios. Once you have setup a scenario, the model steps for a scenario can be run separately, run as a group, or run iteratively with feedback.

There are three key dialog boxes that are used to manage and run the model. These are shown below.

1. The first is the main dialog box (called the CCTA Planning Model dialog box), which is what appears when the Add-in is launched. From this dialog box, scenarios are selected and the models are run.
2. The second dialog box is the Project Scenarios dialog box. This is invoked by clicking on the Setup button from the main dialog box. In this dialog box, the scenarios are managed. Here you can add, delete, sort, describe, and rename scenarios. Each scenario is defined by a set of input files, output files, and parameters.
3. The third dialog box is where you enter and view the detailed information regarding the scenario. This type of dialog box is launched by clicking the Contents button in the

Project Scenarios dialog box. The Parameter Manager dialog box will provide information for the Scenario and model Step that are highlighted in the Project Scenario dialog box. From the Parameter Manager dialog box, you can open input or output files, change input or output files, and view and change model parameters.

The rest of this User's Guide explains how to work with these dialog boxes to setup and run the CCTA Phase 2 Planning Model.



## ■ 2.2 Installing the Add-in

The custom Add-in is packaged in an easy-to-install setup program. Before installing the Add-in, make sure to delete all other previous versions of the CCTA Planning model that are installed on the computer. The setup program is called setup.exe. It is located on the CD that is provided and should be run from within Windows. It will prompt you for the directory in which TransCAD is located and a directory into which the data files are to be copied.

This step only needs to be run one time (per computer). After it is installed, running the Add-in is as simple as running TransCAD. First, start TransCAD, then go to Tools-Add-ins and choose the Add-in entitled, CCTA Model Phase 2. Click on OK to invoke the custom interface.

## Computer Requirements

The CCTA Model contains large matrices and files and requires a reasonably powerful PC machine in order to run efficiently. We recommend the following as minimum standards:

- Pentium 1GHz,
- 256MB of RAM memory, and
- 80 GB of hard drive space to house all of the scenario model input and output files.

## ■ 2.3 Launching the Add-in

Once the Add-in is installed using the steps described above, the main dialog box is launched through the Tools-Add-ins feature in TransCAD.

### *To Launch the Add-in*

1. If TransCAD is not running, launch TransCAD.
2. Choose Tools-Add-ins.
3. Choose CCTA Model Phase 2 and click OK to display the CCTA Planning Model dialog box. (If you do not see CCTA Model Phase 2 in the Add-ins window, click Cancel and INSTALL the Add-in by following the directions above.)

All other functionality for the Add-in is accessed through this main dialog box.

## ■ 2.4 Working with the Base Scenario

In this section, you will learn how to set up, run, and view outputs for the Base Scenario.

## Setting Up the Base Scenario

Before you can run the model, you have to first define the scenario. This means providing TransCAD the name of the scenario along with the set of input files, output files, and parameters that define the scenario. This information is entered and viewed using the Project Scenarios and Parameter Manager dialog boxes. You can store any number of scenarios in the custom Add-in.

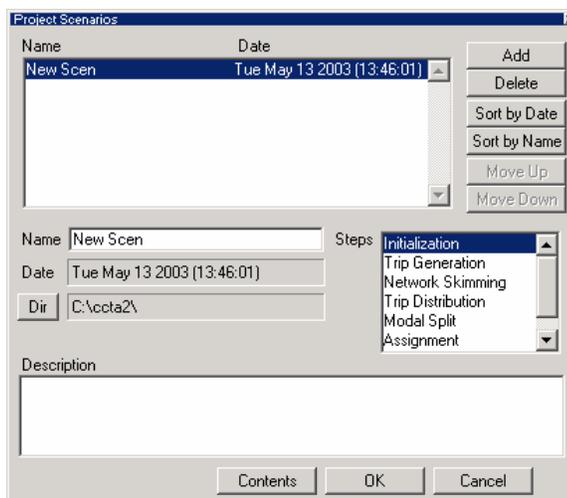
The Base Scenario is particularly straightforward to set up, because it is the default scenario provided with the custom Add-in (as defined in the CCTA2\_MOD.BIN file installed with the Add-in).

### *To Set Up the Base Scenario*

1. If the Add-in is not launched, launch it by following the instructions above to open the CCTA Planning Model dialog box.
2. From the CCTA Planning Model dialog box, click on the Setup button to open the Project Scenarios dialog box. If there are no existing scenario files, the Custom Add-in will ask if you want to create a new one; click yes.
3. Click the Add button to add a new scenario.

A scenario named New Scen is automatically created and added to the list of scenarios in the text box at the top of the Project Scenarios dialog box, and the current time is also listed. By default, New Scen is the Base Scenario. The input and output files as well as the parameters are automatically entered, and the model is ready to run. Note that if you are setting up any scenario other than the base scenario, you will have to modify at least some of the input files and parameters to match the scenario of interest. This is described later in the section on Working with Additional Scenarios.

4. Rename the scenario to something more descriptive by entering the new name in the Name text box (for example, Base Scenario), and, if desired, provide a longer description of the scenario in the Description text box.
5. Click OK to save the settings of the scenario and close the Project Scenarios dialog box.



Now the base scenario is setup and ready to run. Note that the model steps are listed in the Steps text box and the directory listed for the scenario is the location to which the installation program installed the input and output files. If you want to view or modify any of the scenario settings (input/output files or parameters), you can do so by clicking on the Contents button (described under Viewing Outputs and Modifying Scenarios).

## Running the Base Scenario

Models are run from the CCTA Planning Model dialog box. Make sure you have exited the Project Scenarios dialog box (by clicking OK) so that the settings for the scenario are updated and saved.

Any scenario can be run either with feedback, without feedback, or with one model step at a time.

### *To Run the Base Scenario with Feedback*

1. From the CCTA Planning Model dialog box, choose the Base Scenario from the Scenarios selection box. (If you have not yet created the base scenario, do so by following the instructions above.)
2. Enter in the number of Feedback Iterations to run in the spinner or use the Spinner Up and Down buttons.
3. Click the Feedback button.

The custom Add-in will first run all model steps from Initialization through Assignment. Then it will iterate through Network Skimming to Assignment, updating the network travel times using information from the latest iteration. Note that if the number of feedback iterations is one or more, the only step you can run is feedback.

### ***To Run all of the Model Steps for the Base Scenario without Feedback***

1. From the CCTA Planning Model dialog box, choose the Base Scenario from the Scenarios selection box.
2. Uncheck the Stop after stage checkbox.
3. Make sure that the Run all steps checkbox is checked.
4. Make sure that Feedback Iterations is set to zero.
5. Click the Initialization button.

The custom Add-in will run all model stages (initialization, trip generation, network skimming, trip distribution, modal split, and assignment).

There is also an option in the dialog box to skip transit steps. Checking this box will force the model to skip any transit-related processing steps (building, skimming, transit matrix processing, and assignment). The use of this option will reduce the model running time considerably and can be invoked if none of the transit routes or parameters has changed from a previous run.

### ***To Run a Single Model Step of Base Scenario***

1. From the CCTA Planning Model dialog box, choose the Base Scenario from the Scenarios selection box.
2. Make sure that the Stop after stage checkbox is checked.
3. Make sure that the Run all steps checkbox is checked.
4. Make sure that the input files necessary for the model you want to run are available. (The easiest way to do this for the base scenario is to run each of the prior stages of the model by following these steps.)
5. Make sure that Feedback Iterations is set to zero.
6. Click the button that states the step you want to run (for example, Modal Split).

The custom Add-in will run just that stage and stop.

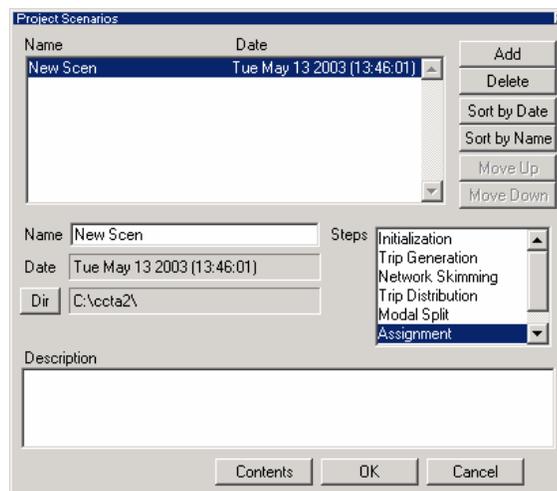
## **Viewing and Analyzing Outputs for the Base Scenario**

The full functionality of TransCAD is available to analyze the outputs of a model run. There are innumerable ways to perform the analysis. Below are a few suggestions to get started viewing the output results. For more information, see the TransCAD User's Guide and Travel Demand Modeling with TransCAD manual.

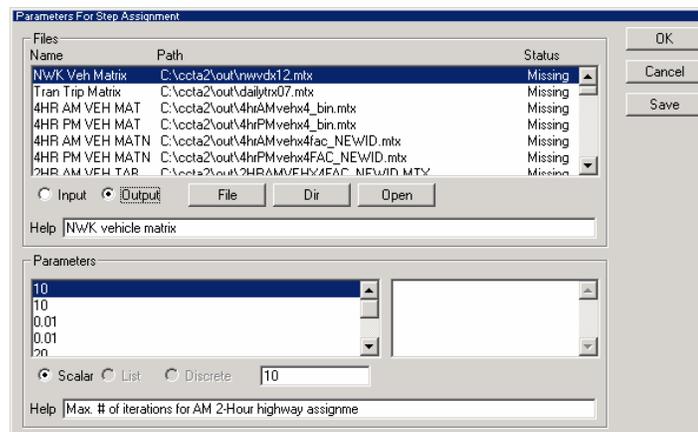
## To Open the Output (or Input) Files for any Model Step

If you know the name and location of the output file (information for the base year scenario is provided in the Model Documentation manual), you can always choose File-Open in the TransCAD menu; choose the file type you wish to view (Geographic File, Dbase table, Matrix, etc.); and choose the file you wish to open. Alternatively, you can open the files from the Parameter Manager dialog box of the Add-in. To use this approach:

1. Click the Setup button in the CCTA Planning Model main dialog box to open the Project Scenarios dialog box.
2. Select the Scenario and model Step of Interest.



3. Click the Contents button to open the Parameter Manager dialog box.
4. Click the Output radio button to get the list of output files.



5. Select the files that you want to open (use Shift-click or Ctrl-click to select multiple files).
6. Click the Open button and the Add-in will open the files into TransCAD.

Note that when the Parameter Manager dialog box is open, you can change the step or the scenario that is displayed in the dialog box by making the selection in the Project Scenario dialog box.

There are many files that play a role in several of the model steps. For example, Modal Split produces an OD flow matrix as an output, which is an input to Assignment. However, note that each file appears only once in the entire set of Parameter Manager dialog boxes, usually in the first model step for which it is used. The parallel Model Documentation manual provides additional information on the input and output files.

### ***To Generate and View the Trip Length Distribution (TLD)***

Open the OD Flow matrix and the Travel Time matrix:

1. Use the steps described above to open the HWY DA Skim, which is an output from the Network Skimming model step, and the NWK PA Matrix, which is an output to the Trip Generation step.
2. Make the HWY DA Skim matrix the current window and choose Matrix-Indices from the menu. For the current indices, choose "4digit" for both the row and column indices. This makes the IDs for this matrix compatible with the trip matrix IDs.

Generate the Trip Length Distribution:

3. From the TransCAD menu, choose Planning-Planning Utilities-Trip Length Distribution to display the Trip Length Distribution dialog box.
4. Select the NWK PA Matrix as the Base Matrix File and the HWY DA skim matrix as the Impedance Matrix File.
5. Click the Options button and enter a bin starting point of 0, an ending point of 180, and bin sizes of 5. Click OK.
6. Click OK and enter the name for the output TLD matrix, and click OK to generate the matrix.

TransCAD generates the TLD matrix and shows a Results Summary dialog box. Click Show Report to view summary statistics, such as minimum, maximum, and average trip lengths. Otherwise, click Close to view the TLD matrix.

To provide a chart of the TLD:

7. Highlight the Percent column in the TLD matrix.
8. Choose File-New to display the New File dialog box. Choose Chart and click OK to open the Matrix Chart Data dialog box.
9. Click OK (to chart the Selected Cells) to display the Chart Properties dialog box.
10. Choose a bar chart and click OK.

TransCAD displays a chart for the TLD.

### ***To View Highway Volume Outputs for the P.M. Peak Period***

Open the highway geography and p.m. peak highway flow table:

1. Use the steps described above to open the Highway DB file, which is an input to the Initialization model step, and the 4HR AM VEH Flow, which is an output of the Assignment step. Note that in this step, the 4HR AM VEH Flow table may appear as missing in the dialog box. This is because several separate flow files were created in the feedback process, a file for each feedback iteration. Thus, if the original output file is called "4hram.bin", you should open up "4hram2.bin" if you want the flow table from the second iteration. Use File-Open from the menu system and choose Fixed Format Binary as the type to manually open up these files.

Join the highway geography to the flow table:

2. From the TransCAD menu, choose Dataview-Join and join the Highway/Streets layer's ID field to the flow table's ID1 field. Click OK to view the join.

Generate a flow map:

3. Choose Planning-Planning Utilities-Create Flow Map to create both a size theme on the links based on volume flow and a color theme based on VOC ratio.

Use the utilities in TransCAD to move about the map, add labels, etc.

### ***To View the Running Log and Report Files***

Each time a model is run, the Add-in will save information on the run in two text files: the log file and the report file. The log file lists every procedure that you run and any warnings that were encountered. The report file lists every procedure that you run. It also lists all of the input data that was used for the procedure. To view these files:

1. Choose Edit-Preferences from the TransCAD menu to open the Preferences dialog box.
2. Choose the Logging tab.
3. Click on the Display button to display either the log file or the report file.

Information on the most recent model run will be at the end of these files.

## ■ 2.5 Working with Additional Scenarios

Any number of scenarios beyond the Base Scenario can be setup, stored, and run using the Add-in.

### Adding a Scenario

#### *To Create an Additional Scenario*

1. From the CCTA Planning Model dialog box, click on the Setup button to open the Project Scenarios dialog box.
2. Click the Add button to add a new scenario. The Add-in will create a scenario named New Scen and add it (along with the current date and time) to the end of the list of scenarios in the dialog box.
3. Rename the scenario using the Name text box.
4. Enter a description for the scenario in the Description text box.

By default, the added scenario is created using the settings for the default Base Scenario, and so you will have to re-specify at least some of these settings to generate the scenario of interest. The next step describes how to do this.

### Modifying Scenarios

A scenario is defined by the set of input files, output files, and parameters for which the model is to be run. Each step of the model has a different set of files and parameters. These settings are managed using the Parameter Manager dialog boxes for which there is a different dialog box for each model step.

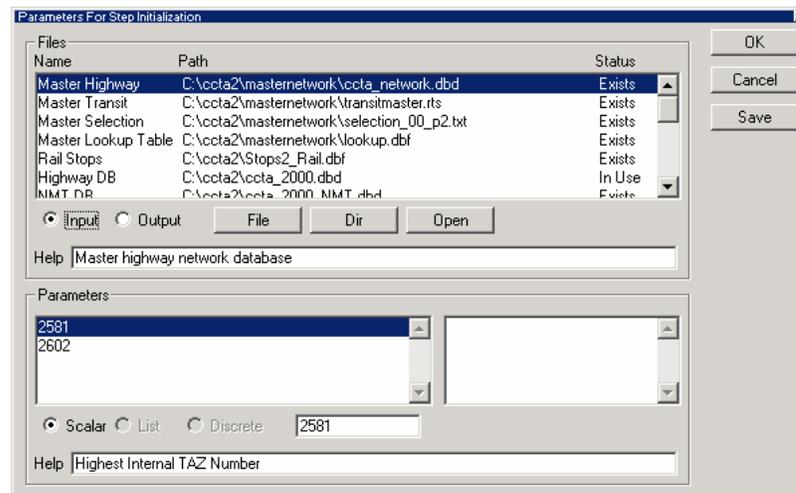
An important point in selecting the input files to use for a given scenario is that these files must match the input files provided for the default Base Scenario. This means that all table inputs (demographics table, trip rates table, etc.) must contain the same field names as the original default files and must have the same number of records. The default files

are described in the Model Documentation manual. However, note that you can use any of the following formats for the tables: DBASE, Comma Delimited ASCII, Fixed Format ASCII, and Fixed Format Binary. There are some exceptions to this rule for certain text files that are tied to certain Fortran programs.

If any of these restrictions are violated, the Add-in will give you error messages if you attempt to run the model.

### ***To Modify the Input Files, Output Files, or Parameters Used for a Scenario***

1. From the Project Scenarios dialog box, select the scenario of interest from the list of scenarios and the model step of interest from the Steps selection box.
2. Click the Contents button to open the Parameters Manager dialog box for the chosen scenario and model step.



This dialog box will automatically update to reflect the selections in the Project Scenarios dialog box. So to view a different model step or scenario, simply make the selections in the Project Scenarios dialog box. Only one Parameter Manager dialog box can be viewed at a time.

3. Use this dialog box to manage the input and output files as follows.

---

<b>To do this...</b>	<b>Do this...</b>
View the list of input files	Click the Input radio button and all input files for the model step will be displayed in the Files scroll list.
View the list of output files	Click the Output radio button and all output files for the step will be displayed in the Files scroll list.
Obtain a description of the file	Select the file of interest from the Files scroll list and a description will be provided in the Help text box.
Check the status of a file	<p>The Status column in the Files scroll list states whether a file Exists, is In Use, or is Missing.</p> <p>In Use, files will be automatically closed when a model is run.</p> <p>All of the input files must Exist in order for a model to be run.</p> <p>Any output files that Exist will be overwritten when a model is run.</p>
Open a file	Either double-click on the file in the Files scroll list or select the file in the Files scroll list and Click the Open button. Networks (.NET) and transit networks (.TNW) cannot be opened, but their geographic counterparts (.DBD line geographic files and .RTS route systems) can.
Change the file that is used	<p>Select the file you want to change from the Files scroll list. Click on File and select the file that you want to use.</p> <p>All input files must match the structure of the input files provided with the Year 2000 Base Scenario.</p>
Change the directory of a file	To change the directory of a file, select it from the Files scroll list, click on Dir and select the directory. To change the directory for multiple files, use Shift-click or Ctrl-click to select multiple files from the Files scroll list.

---

4. Use this dialog box to manage the parameters as follows

---

<b>To do this...</b>	<b>Do this...</b>
View scalar parameters	Click the Scalar radio button and the scalar parameters will be listed in the Parameters scroll list.
View List (Vector) parameters	Click the List radio button and any parameter lists will be displayed in the left Parameters scroll list. Click on a parameter list and the parameters that make up the list will be displayed in the right Parameters scroll list.
Obtain a description of the Parameter	Select a parameter from the Parameters scroll list and a description will be provided in the Help text box.
Change the parameter	Select the parameter from the Parameters scroll list and enter the value of the parameter in the text box.

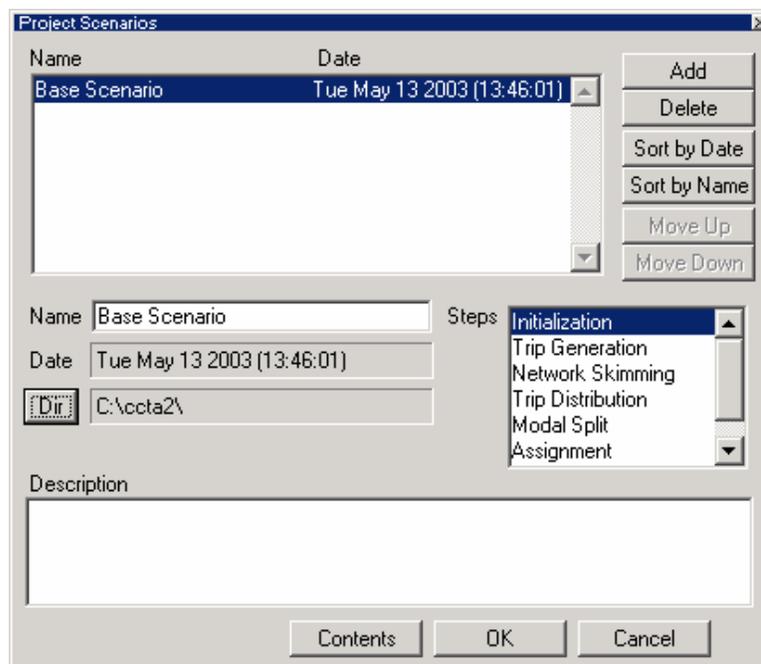
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## Managing Scenarios

Any number of scenarios can be stored in the Add-in. Scenarios can be added, deleted, and modified at will.

### *To Manage the Scenarios*

1. From the CCTA Planning Model dialog box, click the Setup button to open the Project Scenarios dialog box.



2. Use this dialog box to manage the scenarios as follows.

To do this...	Do this...
Add a new scenario	Click the Add button and a scenario named New Scen will be added to the bottom of the list of scenarios along with a time stamp. This scenario will, by default, be setup with the Base Scenario settings.
Delete a scenario	Select the scenario you want to delete from the Scenario scroll list and click the Delete button.
Sort the scenarios by date	Click the Sort by Date button.
Sort the scenarios by name	Click the Sort by Name button.
Move a scenario up or down	Select the scenario you want to move up in the scenario list and click the Move Up or Move Down button.
Rename a scenario	Select the scenario you want to rename and enter the new name in the Name text box.

---

<b>To do this...</b>	<b>Do this...</b>
Change the default directory	Select the scenario for which you want to change the default directory for the input and output files, click the Dir button, and select the directory. The directory for all input and output files for the scenario will be changed to this default directory.
Provide a description	Select the scenario for which you want to provide a description and enter the description in the Description text box.
Save scenario settings	Click OK.

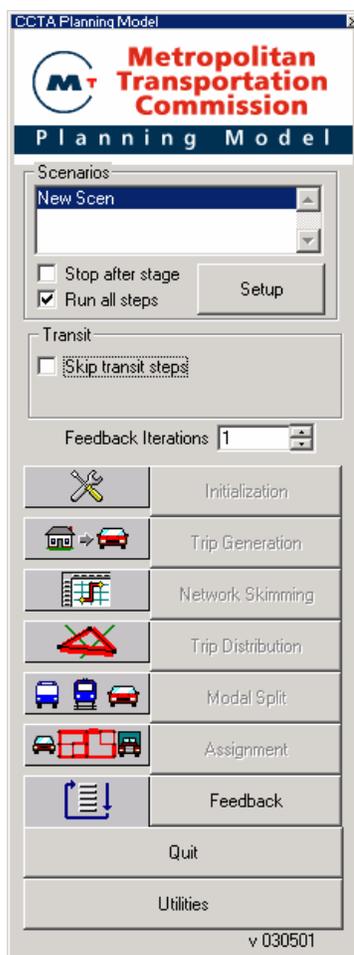
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## **Running a Scenario**

Running a scenario is no different from running the Base Scenario. However, the key to running a scenario that is not the default is to verify that the inputs are set up correctly. This means that all input files must exist and they must have the same format as the default input files, as described above in Modifying Scenarios. Another important point is that all existing Output files will be overwritten when the model is run.

## To Run a Scenario

1. Scenarios are run from the CCTA Planning Model dialog box:



Select the scenario you want to run from the list of scenarios and use this dialog box to run models as follows:

---

### To do this...

Run a model with Feedback

Run a model without Feedback

---

### Do this...

Set the Feedback Iterations to a number greater than 0 and click the Feedback Model button.

Uncheck Stop after stage, check Run all steps, make sure that Feedback Iterations is set to 0, and click the Initialization button.

---

<b>To do this...</b>	<b>Do this...</b>
Run one model step	Verify that all inputs for the model step exist. Check Stop after stage, check Run all steps, make sure that Feedback Iterations is set to 0, and click the button that states the model step you want to run.
Skip Transit Steps	Click on the Skip Transit Steps checkbox. This will skip the transit pre-processing, building, skimming, matrix processing, and assignment steps. This is used if none of the transit routes or parameters have changed and you wish to save time in running the model.
Skip a model step	Click on the picture button next to the step you want to skip to open the Stage Step Settings dialog box. Uncheck any step you do not want to run and click OK. The Run all steps checkbox will automatically be unchecked.
View/modify scenario settings	Click Setup to open the Project Scenarios dialog box and follow instructions above regarding setting up scenarios.
Exit the Add-in	Click Quit.

---

## Viewing and Analyzing Outputs from a Scenario

Once the model is run, you can use all of the functionality in TransCAD to view and analyze results. There are innumerable ways to perform the analysis. Examples of such analysis were provided under the heading of Viewing and Analyzing Outputs of the Base Year Scenario. For more information, see the TransCAD User's Guide and Travel Demand Modeling with TransCAD manual.

## 3.0 Validation Data

### ■ 3.1 How to Access/Update the Database

The Validation Database can be accessed from either ACCESS or from TransCAD.

#### Using ACCESS

The ACCESS database is called, **CountDatabase(03-03-03).mdb**. This file is approximately 5.6 mb in size. It contains the tables of data identified in Table 3.1.

The analyst merely opens the database in ACCESS, double-clicks on the desired table, and edits the data. Care should be taken though not to inadvertently edit the fields that link the database to the CCTA highway and transit networks. These fields are identified in the following section.

The year 2000 screenline counts are compared to 1990 counts in a separate EXCEL spreadsheet file called, **CCTAscreenlines(11-26-02)\_1page.xls**.

The freeway ramp count data is organized into two tables: **Data\_CaltransRampsAC** (for ramps on state routes in Alameda County), and **Data\_CaltransRampsCC** (for ramps on state routes in Contra Costa County). There are 112 records in the Alameda County table and 360 records in the Contra Costa County table. The data fields are described in Table 3.2 below.

The screenline count data is stored in two places. The Validation Database contains the year 2000 screenline counts in a table called, **Data\_Screenline**. The year 2000 screenline data is compared to the 1990 screenline counts in a separate Excel spreadsheet called, **CCTAscreenlines(11-26-02)\_1page.xls**. This spreadsheet is organized into worksheets containing regional screenline counts and internal screenline counts for the a.m. and p.m. peak hours, the a.m. and p.m. peak periods, and daily. The fields in the database are described in Table 3.3 below.

The turning movement counts are stored in two tables in the Validation Database. The table called, **Data\_IntersectionCount(Full)**, stores the raw a.m. and p.m. peak-hour turning movement counts. The table called, **Data\_IntersectionCount(AdjRamp)**, stores the equivalent approach and departure volumes, adjusted for the presence of ramps as explained above. Tables 3.4 and 3.5 below describe the data fields.

**Table 3.1 Tables in Validation Database**

<b>Data</b>	<b>Table Name</b>	<b>Description (Year)</b>
1. AC Transit Line Boarding Data	Data_AC_BoardingsByRteTimeZone	AM, Midday, PM, and Evening Boardings for AC Transit by line aggregated by subarea (1998)
2. BART Station Boarding Data	Data_BartBoardingBySta	Monthly boardings for BART by station O-D pairs (1998)
3. BART Line Boarding Data	Data_BartDailyBoarding	Daily boardings for BART by line (1998)
4. Freeway Ramp Counts - Alameda County	Data_CaltransRampsAC	Caltrans ramp counts (Daily, AM/PM Peak Hours and Peak Periods) in Alameda County
5. Freeway Ramp Counts - Contra Costa County	Data_CaltransRampsAC	Caltrans ramp counts (Daily, AM/PM Peak Hours and Peak Periods) in Contra Costa County
6. All Transit Lines Boarding Data	Data_DailyBoardingByLine	Daily boardings system-wide by line for all transit operators (1998)
7. All Transit Modes Boarding Data	Data_DailyBoardingByMode	Daily boardings system-wide by mode for all transit operators (1998)
8. All Transit Operators Boarding Data	Data_DailyBoardingByOperator	Daily boardings by operators and mode (1995-1998, depending on operator)
9. Intersection Approach Counts	Data_IntersectionCount(AdjRamp)	Intersection link approach and departure volumes for AM and PM peak hour, adjusted to account for loop ramps (2000-2002, varies by location)
10. Intersection Turn Counts	Data_IntersectionCount(All)	Intersection turning movements and link approach and departure volumes for AM and PM peak hour (2000-2002, varies by location)
11. MTC Screenlines	Data_MTCscreenlines	Screenline counts for MTC.
12. Screenline Counts	Data_Screenline	Screenline volumes for 15-min increment, 1-hr increment, AM and PM peak hour, AM and PM peak period and daily (1999-2002, varies by location)
13. Speed Data	Data_Speed	Floating car mean speeds by freeway route, SOV only (1997)
14. Transit Operator Code Table	Data_TransitOperatorLookup	Validation Database to TransCAD Mode Number Correspondence Table
15. Data Dictionaries	Dict_*	Data Dictionary

**Table 3.2 Data Dictionary for Freeway Ramp Count Tables**

Field Name	Field Description	Units	Data Type	Field Size	Notes
ID	TransCAD link ID		Number	Double	
Rte	Route		Number	Double	
Dir	Direction		Text	255	
Leg	Ramp direction (F=Off Ramp, N=On ramp)		Text	255	
Description	Location Description		Text	255	
PM	PostMile	Miles	Number	Double	
Road	Road Name		Text	255	
Daily	Daily Volume	Vehicles	Number	Double	
AMPKHR	AM Peak Hour Count observed for one hour	Vehicles	Number	Double	
AMTIME	AM Peak Hour Time Duration observed	Time	Text	255	
PMPKHR	PM Peak Hour Count observed for one hour	Vehicles	Number	Double	
PMTIME	PM Peak Hour Time Duration observed	Time	Text	255	
AMPKPER	AM Peak Hour Count (6:00-10:00)	Vehicles	Number	Double	
PMPKPER	PM Peak Hour Count (15:00-19:00)	Vehicles	Number	Double	

**Table 3.3 Data Dictionary for Screenline Counts Table**

Field Name	Field Description	Units	Data Type	Field Size	Notes
SL_ID	Screenline ID (Combination of Location Number and Direction)		Text	255	
ID	Unique ID created as a combination of Screenline Type, Screenline Number, Location Number and Direction		Text	255	
SL_Type	Screenline Type ( C=Cordon, R=Regional, I=Internal)		Text	255	
Sl_No	Screenline Number (0-18)		Number	Double	
LocNo	Count Location Number		Number	Double	
Street	Street		Text	255	

**Table 3.3 Data Dictionary for Screenline Counts Table (continued)**

Field Name	Field Description	Units	Data Type	Field Size	Notes
Location	Location		Text	255	
Date	Date of observation	Date	Text	255	
Day	Day of observation		Text	255	
Dir	Direction		Text	255	
???	15-minute interval screenline counts	Vehicles	Number	Double	
??-??-??	1-hour interval screenline counts	Vehicles	Number	Double	
DailyVol	Daily Volume	Vehicles	Number	Double	
AM_PKPer	AM Peak Hour Volume for the whole AM peak period (06:00-10:00)	Vehicles	Number	Double	
PM_PKPer	PM Peak Hour Volume for the whole PM peak period (15:00-19:00)	Vehicles	Number	Double	
AM_PKHr	AM Peak Hour Observed	Time	Text	255	
AM_PKVol	AM Peak Volume in Peak Hour Observed	Vehicles	Number	Double	
PM_PKHr	PM Peak Hour Observed	Time	Text	255	
PM_PKVol	PM Peak Volume in Peak Hour Observed	Vehicles	Number	Double	
Source	Count Source		Text	255	
Estimate	Estimate Indicator(1 = Estimated Count, 0 = Observed Count)		Number	Double	

**Table 3.4 Data Dictionary for Intersection Count (Full) Table**

Field	Field Description	Units	Type	Field
IntNo	Intersection Number		Number	Double
Jurisdiction	Jurisdiction in which intersection is		Text	255
SecIntNo	Secondary Intersection Number		Text	255
Date	Date		Date/Time	
NS	Street in the North-South Direction		Text	255
EW	Street in the East-West Direction		Text	255
Peak Period	AM/PM		Text	255
Peak Hour	Peak Hour Time Duration observed	Time	Text	255
NBL	Northbound Left Turning Vehicles	Vehicles	Number	Double
NBT	Northbound Through Vehicles	Vehicles	Number	Double

**Table 3.4 Data Dictionary for Intersection Count (Full) Table  
(continued)**

Field	Field Description	Units	Type	Field
NBR	Northbound Right Turning Vehicles	Vehicles	Number	Double
SBL	Southbound Left Turning Vehicles	Vehicles	Number	Double
SBT	Southbound Through Vehicles	Vehicles	Number	Double
SBR	Southbound Right Turning Vehicles	Vehicles	Number	Double
EBL	Eastbound Left Turning Vehicles	Vehicles	Number	Double
EBT	Eastbound Through Vehicles	Vehicles	Number	Double
EBR	Eastbound Right Turning Vehicles	Vehicles	Number	Double
WBL	Westbound Left Turning Vehicles	Vehicles	Number	Double
WBT	Westbound Through Vehicles	Vehicles	Number	Double
WBR	Westbound Right Turning Vehicles	Vehicles	Number	Double
N-App	Approach Volume North of Intersection	Vehicles	Number	Double
N-Dep	Departure Volume North of Intersection	Vehicles	Number	Double
S-App	Approach Volume South of Intersection	Vehicles	Number	Double
S-Dep	Departure Volume South of Intersection	Vehicles	Number	Double
E-App	Approach Volume East of Intersection	Vehicles	Number	Double
E-Dep	Departure Volume East of Intersection	Vehicles	Number	Double
W-App	Approach Volume West of Intersection	Vehicles	Number	Double
W-Dep	Departure Volume West of Intersection	Vehicles	Number	Double
TOTAL	Total Count at Intersection	Vehicles	Number	Double
APP VOL	Total Approach Volume at Intersection	Vehicles	Number	Double
DEP VOL	Total Departure Volume at Intersection	Vehicles	Number	Double
COUNTBY	Count Source		Text	255
SUBAREA	Subarea		Text	255
NOTES			Text	255

**Table 3.5 Data Dictionary for Adjusted Intersection Approach Counts Table**

Field	Field Description	Units	Type	Size
IntNo	Intersection Number		Number	Double
Jurisdiction	Jurisdiction in which intersection is		Text	255
Date	Date		Date/Time	
NS	Street in the North-South Direction		Text	255
EW	Street in the East-West Direction		Text	255
Peak Period	AM/PM		Text	255
Peak Hour	Peak Hour Time Duration observed	Time	Text	255
N-App	Approach Volume North of Intersection	Vehicles	Number	Double
N-Dep	Departure Volume North of Intersection	Vehicles	Number	Double
S-App	Approach Volume South of Intersection	Vehicles	Number	Double
S-Dep	Departure Volume South of Intersection	Vehicles	Number	Double
E-App	Approach Volume East of Intersection	Vehicles	Number	Double
E-Dep	Departure Volume East of Intersection	Vehicles	Number	Double
W-App	Approach Volume West of Intersection	Vehicles	Number	Double
W-Dep	Departure Volume West of Intersection	Vehicles	Number	Double
TOTAL	Total Count at Intersection	Vehicles	Number	Double
APP VOL	Total Approach Volume at Intersection	Vehicles	Number	Double
DEP VOL	Total Departure Volume at Intersection	Vehicles	Number	Double
COUNTBY	Count Source		Text	255

The speed data is stored in the table called, **Data\_Speed**. Table 3.6 below describes the data fields.

## Using TransCAD

The Validation Database can be accessed from TransCAD through the “Join Tool” (see Chapter 11 of the *TransCAD User's Guide*) or the macros provided in the Technical Appendices A, B, and C. Table 3.7 below shows the values to be entered in the “Join-From” and “Join-To” fields of the Join Tool.

**Table 3.6 Data Dictionary for Speed Table**

Field Name	Field Description	Units	Data Type	Field Size
A	A Node		Number	Double
B	B Node		Number	Double
Route	Route Name/Number		Text	255
From	Origin		Text	255
To	Destination		Text	255
Direction	NB/SB/EB/WB		Text	255
Distance	Distance	Mile	Number	Double
Year	Time when the data were collected		Date/Time	
Speed	Average Travel Speed	mph	Number	Double
Time Period	AM/PM peak period		Text	255
Time	Time when data were collected		Text	255
Source	Source where data were obtained from		Text	255
Notes	Notes		Text	255

**Table 3.7 Linkage of Validation Database to CCTA Networks**

Data Type	Data Table	Validation Field	TransCAD Layer	TransCAD Field
1. Transit Boardings	AC Transit Line Boarding Data	None	None	None
	All Transit Modes Boarding Data	Line	Transit	
	BART Station Boarding Data	None	None	None
	BART Line Boarding Data	None	None	None
	Transit Operator Code Table	N/A	N/A	N/A
	All Transit Operators Boarding Data	Operator Code	Transit	

**Table 3.7 Linkage of Validation Database to CCTA Networks  
(continued)**

Data Type	Data Table	Validation Field	TransCAD Layer	TransCAD Field
2. Freeway Ramp Counts	Freeway Ramp Counts – Alameda County	ID	Highway	Orig_ID
	Freeway Ramp Counts – Contra Costa County	ID	Highway	Orig_ID
3. Intersection Turn Counts	Intersection Approach Counts	Requires Macro* which uses IntNo, N-APP, N-DEP, S-APP, S-DEP, E-APP, E-DEP, W-APP, W-DEP	Highway Line	Requires Macro* which uses IntCntLoc, Int_Leg, AB_AppDep, BA_AppDep
	Intersection Turn Counts	IntNo	Highway Node	IntNo
4. Screenline Counts	Screenline Counts	SL_ID	Highway Line	Requires Macro* which uses AB_SrLnID, BA_SrLnID
5. Speed Data	HICOMP Congested Freeways	None	None	None
	MTC Speed Data	A, B	Highway Node	ID

\*Note: See Technical Appendices A, B, and C for Macros to import data to TransCAD network.

One caution – the MTC BAYCAST speed data from 1997 is partially linked to the CCTA highway network through identification of the network node numbers (Fields “A” and “B” in the dataset), where the travel time run started and ended. These fields were filled in for 875 of the 1,026 records in the speed dataset before it was decided that this information would be of marginal use to model development and calibration.

## ■ 3.2 CCTA Network Editing Considerations

Since the linkage between the Validation Database and TransCAD is the User Link ID, model operators can edit all link and node characteristics, except the fields identified in Table 3.7 above, without adversely affecting the linkage between the database and TransCAD. Links can be moved and shaped without adversely affecting the linkage.

The first exception is deleting either a link or a node. The analyst must check to ensure that the node or link is not linked to the validation database. The analyst should inspect the fields identified in Table 3.7 above to ensure that the field is blank for the particular node or link being deleted.

The second exception is splitting a link (which happens when adding a new centroid connector link as a result of splitting a zone). Splitting links will require the operator to determine which of the resulting links should retain the original linkage. The operator will have to base this decision upon the original location within the original link of the traffic counts, speeds, and other information in the Validation Database. The specific locations within the link are not stored in the database. The operator may have to research the raw data in paper form or consult with the agency or person that collected the data to determine which link should retain the original linkage to the Validation Database.

The third exception is editing the field names used by the linkage macros listed in Table 3.7 above. Changes in these field names would require editing of the macros to incorporate the new field names.

### ■ 3.3 Validation Data Update Considerations

To facilitate the display and processing of count data, a duplicate set of some of the count data in the Validation Database is stored directly in the master highway network in TransCAD. Updates to the counts in the Validation Database require that a TransCAD macro be run to port over the new data into the appropriate fields in the master highway network. The duplicate data consists of screenline counts and intersection approach counts. The TransCAD resource codes (macros) for accomplishing these two tasks are provided in the appendices.

Note that, when new turning counts are made, they must be manually adjusted to remove movements not properly part of the actual intersection and the results summed to obtain the adjusted approach counts contained in the approach count table.

## 4.0 Highway Networks

This section describes the contents and procedures for editing the master highway network.

### ■ 4.1 Introduction

The master highway network is a comprehensive TransCAD highway and HOV geographic database file that has reasonable geographic shape (i.e., curvilinear streets, true interchange forms, etc.) and appropriate network attributes (lanes, functional classification, etc.) from which the following six network scenarios can be extracted:

1. **Scenario #1** - Year 2000, Existing Conditions;
2. **Scenario #2** - Regional Transportation Improvement Program (2000 RTIP) for Year 2010;
3. **Scenario #3** - 2000 RTIP, plus Seven-Year Congestion Management Program (CMP)/Capital Improvement Program (CIP) (CCTA 2001 CMP Update) also for the Year 2010;
4. **Scenario #4** - Regional Transportation Plan (RTP) Track 1 (2001 RTP Update) for Year 2020;
5. **Scenario #5** - RTP Track #1 (same as Scenario #4), but for Year 2025; and
6. **Scenario #6** - RTP Blueprint, plus selected projects from CCTA's Comprehensive Transportation Project List (CTPL) for Year 2025.

The “master” highway network concept overcomes the problems in older models of maintaining consistency between scenarios by placing all existing and future highway improvements in one master file. For example, a single link will have several fields indicating the number of lanes, each field appropriate to a particular future scenario. A future link may have zero lanes in the base year and non-zero lanes in one or more of the future years. The future networks are then generated from the master file, ensuring that all network edits are carried consistently through to all applicable future highway networks.

One difficulty working with master networks is visually checking the integrity of each future network that might be generated from the master network. Since the master network includes all existing and future links, it is hard to visually spot missing links. However, this can be overcome by generating each future scenario network and proofing it.

The 2000 Model Update highway network within the CCTA area (defined as those links and nodes within Contra Costa County and the Tri-Valley area) includes all of the roadways in the detailed networks of the subarea models from the 1990 CCTA model set. All links within this area have been geographically shaped to overlay the CCTA Land Use Information System (LUIS) map, which in turn is based upon the Contra Costa County road centerline file.

Network links and attributes outside of the CCTA area are consistent with currently available MTC networks. These networks were transformed to a coordinate system consistent with the LUIS; however, no additional geographic shaping was performed for links outside of the CCTA area.

The master highway network was created by combining the MTC Year 2000, 2010, and 2025 highway networks outside of the CCTA area (defined as Contra Costa County, plus the eastern half of Alameda County that lies in the Tri-Valley) with year 2000 and 2010 highway networks from each of the CCTA subarea EMME/2 models.

## ■ 4.2 Network Description

The master highway network is composed of two layers: 1) the node layer and 2) the link layer. The data fields in the link and node layers are provided in the model documentation appendix. This appendix also provides summary statistics on the mean values and range of values for each of the data fields. The following tables provide additional detail on network attributes:

- Table 4.1 lists the MTC network data fields and provides a brief description of them.
- Table 4.2 explains the significance of the code numbers in the MTC network data fields.
- Table 4.3 provides the table of speeds and capacities used by MTC according to facility type and area type.
- There are a number of special cases where time delays or tolls are imposed by the MTC model on bridges and where reversible lanes are included in network coding. These special cases are all derived directly from the MTC networks and are included herein for information. Table 4.4 lists the one-way tolls.
- Table 4.5 lists the time-based delays on the bridges that were developed by MTC to represent the one-way tolls (applied in both directions equally rather than a single direction) and an observed time delay on the bridge.

**Table 4.1 Data Fields in MTC network**

Field Name	Description	Units
A	Start Node	
B	End Node	
TSVA	Speed or Time depending on TSIN code	mph or minutes
SPDC	Speed Class (Area Type Code, Facility Type Code)	
CAPC	Capacity Class (Area Type Code, Facility Type Code)	
LANE	Number of Lanes (by direction)	
GL	County Code	See table below
TOLL	Toll Class	See table below
USE	Use Code	See table below
CAP	Capacity	Vehicles per lane per hour
AT	Area Type	See table below
FT	Facility Type	See table below
AUX	Number of Auxiliary Lanes (by direction)	
YEAR	Year of Implementation	
SIGCOR	Links with Signal Coordination	0 = No, 1 = Yes
TSIN	Time/Speed Flag	0 = Speed, 1 = Time
TOS	Traffic Operations System Indicator	0 = No, 1 = Yes
SPEED	Speed based on Speed Table	Miles per hour
TIME	Travel Time (free flow)	Minutes
DIST	Distance	Duplicate variable
V_1	Total Volume	Vehicles per time period
TIME_1	Travel Time (congested)	Minutes
VC_1	Volume to Capacity Ratio	
V1_1	Volume of Drive Alone vehicles	Vehicles per time period
V2_1	Volume of Shared Ride 2 vehicles	Vehicles per time period
V3_1	Volume of Shared Ride 3+ vehicles	Vehicles per time period

**Table 4.2 Codes for MTC Data Fields**

Field Name	Description	Category	Code
GL	County Code	San Francisco	1
		San Mateo	2
		Santa Clara	3
		Alameda	4
		Contra Costa	5
		Solano	6
		Napa	7
		Sonoma	8
		Marin	9
TOLL	Toll Class	Benicia/Martinez Bridge I-680	1
		Carquinez Bridge I-80	2
		Richmond/San Rafael Bridge I-580	3
		Golden Gate Bridge US 101	4
		Oakland/San Francisco Bay Bridge I-80	5
		San Mateo/Hayward Bridge Route 92	6
		Dumbarton Bridge Route 84	7
		Antioch Bridge Route 4/Route 160	8
USE	Use Code	Facility open to all vehicles	1
		Carpool lane for 2+ vehicles	2
		Carpool lane for 3+ vehicles	3
		Trucks not allowed on facility	4
AT	Area Type (see note)	Regional Core (more than 300 density)	0
		Central Business District (100-300 density)	1
		Urban Business (55-100 density)	2
		Urban (30-55 density)	3
		Suburban (6-30 density)	4
FT	Facility Type	Rural (less than 6 density)	5
		Freeway to freeway connector	1
		Freeway	2
		Expressway	3
		Collector	4
		Freeway Ramp	5
		Dummy Link	6
		Major Arterial	7
Metered Ramp	8		
Special (not used)	9		
Special (not used)	10		
Local Street (potential new facility type)	11		
Minor Arterial (potential new facility type)	12		

Note: Area type density is defined as the Total Population + 2.5\*Total Employment divided by the sum of the Residential and Commercial/Industrial Acres.

**Table 4.3 Speed/Capacity Look-up Table**

Area Type	Facility Type								Speed Class*			
	Fwy-to-Fwy (1)	Fwy (2)	Expwy (3)	Collector (4)	Fwy Ramp (5)	Dummy (6)	Major Arterial	Metered Ramp	Special (9)	Special (10)		
Core (0)	1,700 40	1,850 55	1,300 40	550 10	1,300 30	na	800 20	700 25	1,900 55	(A)	1,350 40	(G)
CBD (1)	1,700 40	1,850 55	1,300 40	600 15	1,300 30	na	850 25	700 25	1,950 60	(B)	1,500 45	(H)
UBD (2)	1,750 45	1,900 60	1,450 45	650 20	1,400 35	na	900 30	800 30	2,000 65	(C)	1,530 55	(I)
Urban (3)	1,750 45	1,900 60	1,450 45	650 25	1,400 35	na	900 30	800 30	1,780 50	(D)	900 25	(J)
Suburb. (4)	1,800 50	1,950 65	1,500 50	800 30	1,400 40	na	950 35	900 35	1,800 45	(E)	950 30	(K)
Rural (5)	1,800 50	1,950 65	1,500 55	850 35	1,400 40	na	950 40	900 35	1,840 50	(F)	980 40	(L)

Upper Entry: Capacity at level of service "E" in vehicles per hour per lane (i.e., ultimate capacity).

Lower Entry: Free-flow speed (miles per hour).

\*Speed Class = (Area Type \* 10) + Facility Type, 02/02/2001.

na = not applicable.

Notes: (A) TOS Fwy (AT=0,1); (B) TOS Fwy (AT=2,3); (C) TOS Fwy (AT=4,5); (D) Golden Gate; (E) TOS Fwy-to-Fwy (AT=0-3); (F) TOS Fwy-to-Fwy (AT=4,5); (G) Expwy TOS (AT=0,1); (H) Expwy TOS (AT=2,3); (I) Expwy TOS (AT=4,5); (J) Art.Sig.Coar. (AT=0,1); (K) Art.Sig.Coar. (AT=2,3); and (L) Art.Sig.Coar. (AT=4,5).

Source: Metropolitan Transportation Commission.

**Table 4.4 One-Way Tolls on Bay Area Bridges**

Bridge Name	Peak Tolls		Off-Peak Tolls	
	DA, SR2	SR3+	DA, SR2	SR3+
Benicia/Martinez Bridge I-680				
Carquinez Bridge I-80	0.46	0	0.46	0
Richmond/San Rafael Bridge I-580	0.48	0	0.48	0.48
Golden Gate Bridge U.S. 101	0.47	0	0.47	0.47
Oakland/San Francisco Bay Bridge I-80	0.48	0	0.48	0.48
San Mateo/Hayward Bridge Route 92	0.48	0	0.48	0.48
Dumbarton Bridge Route 84	0.46	0	0.46	0
Antioch Bridge Route 4/Route 160	0.48	0	0.48	0

**Table 4.5 Time-Based Link Delays on Bay Area Bridges (in 1998 Network)**

Bridge Name	Direction	Toll Class	TSVA Coding (Minutes)		
			AM	Midday	PM
Benicia/Martinez Bridge I-680	NB	1	6	6	6
	SB	1	6	6	6
Carquinez Bridge I-80	NB	2	6	6	6
	SB	2	6	6	6
Richmond/San Rafael Bridge I-580	WB	3	8	8	8
	EB	3	6	6	6
Golden Gate Bridge US 101	NB	4	6	6	6
	SB	4	11	11	11
Oakland/San Francisco Bay Bridge I-80	WB	5	16	16	16
	EB	5	6	6	6
San Mateo/Hayward Bridge Route 92	WB	6	9	9	9
	EB	6	6	6	6
Dumbarton Bridge Route 84	WB	7	16	16	16
	EB	7	6	6	6
Antioch Bridge Route 4/Route 160	NB	8	6	6	6
	SB	8	6	6	6

- Table 4.6 shows locations with reversible lanes. The CCTA model script generates only one peak period network that is used for both a.m. and p.m. peak periods. Since a.m. traffic conditions are key to the trip distribution and mode choice stages of the model, the a.m. lane configurations have been selected for coding in the CCTA peak period network. The exception is the Caldecott Tunnel where special script has been added to the CCTA model to generate separate a.m. and p.m. peak lanes for the tunnel since it closely affects Contra Costa traffic patterns.

**Table 4.6 Locations with Reversible Lanes**

Location	Direction	AM Lanes	PM Lanes	AM Use Code	PM Use Code
Golden Gate Bridge U.S. 101	NB	2	4		
	SB	4	2		
Caldecott Tunnel	WB	4	2		
	EB	2	4		
I-80 Sterling On-ramp	EB			1	3

### ■ 4.3 Master Highway Network Editing

The master highway network, like any conventional highway network can be edited inside TransCAD using all of the tools available in TransCAD. However, because it is a “master” network, the analyst should take into account the potential impacts of their edits on the linkages to the Validation Database, linkages to the intersection level of service computations, and linkages to the transit route and stops layers. The deletion of highway links and/or nodes may affect these linkages. The potential impact of link splits on the linkages should also be considered when editing the master highway network.

#### *Linkage to Level of Service Computations*

If level-of-service (LOS) computations are desired at a node, the following field cannot be deleted from the node layer: Number of Phases. Similarly, the following fields must be retained intact for all links approaching the node for which LOS computations are desired: Centroid Connector and Split Phasing.

#### *Linkage to Validation Database*

Model operators can edit all link and node characteristics, except the fields identified in Table 4.7 below, without adversely affecting the linkage between the Validation Database and TransCAD. Links can be moved and shaped without adversely affecting the linkage. Changing the field names listed in Table 4.7 for “macros” would adversely affect the function of the macros to import updated count data from the Validation Database into the TransCAD master highway network.

**Table 4.7 Linkage of Validation Database to CCTA Networks**

Data Type	Data Table	Validation Field	TransCAD Layer	TransCAD Field
1. Transit Boardings	All Transit Modes Boarding Data	Mode	Transit	Mode
	All Transit Operators Boarding Data	Operator Code	Transit	Owner
2. Freeway Ramp Counts	Freeway Ramp Counts - Alameda County	ID	Highway Line	ID
	Freeway Ramp Counts - Contra Costa County	ID	Highway Line	ID
3. Intersection Turn Counts	Intersection Approach Counts	Requires Macro* which uses IntNo, N-APP, N-DEP, S-APP, S-DEP, E-APP, E-DEP, W-APP, and W-DEP	Highway Line	Requires Macro* which uses IntCntLoc Int_Leg, AB_AppDep, and BA_AppDep
	Intersection Turn Counts	IntNo	Highway Node	IntNo
4. Screenline Counts	Screenline Counts	SL_ID	Highway Line	Requires Macro* which uses AB_SrLnID, and BA_SrLnID
5. Speed Data	MTC Speed Data	A, B	Highway Node	ID

\*Note: See Validation Database Chapter for Macros to import data to TransCAD network.

However, deleting either a link or a node may affect the linkage to the Validation Database. The analyst must check to ensure that the node or link is not linked to the Validation Database. The analyst should inspect the “Join To” fields identified in Table 4.8 to ensure that the field is blank for the particular node or link being deleted.

**Table 4.8 Linkage of Validation Database to CCTA Networks**

Data Type	Data Table	Validation Field	TransCAD Layer	TransCAD Field
1. Transit Boardings	All Transit Modes Boarding Data	Mode	Transit	Mode
	All Transit Operators Boarding Data	Operator Code	Transit	Owner
2. Freeway Ramp Counts	Freeway Ramp Counts - Alameda County	ID	Highway Line	ID
	Freeway Ramp Counts - Contra Costa County	ID	Highway Line	ID
3. Intersection Turn Counts	Intersection Approach Counts	Requires Macro* which uses IntNo, N-APP, N-DEP, S-APP, S-DEP, E-APP, E-DEP, W-APP, and W-DEP	Highway Line	Requires Macro* which uses IntCntLoc Int_Leg, AB_AppDep, and BA_AppDep
	Intersection Turn Counts	IntNo	Highway Node	IntNo
4. Screenline Counts	Screenline Counts	SL_ID	Highway Line	Requires Macro* which uses AB_SrLnID, and BA_SrLnID
5. Speed Data	MTC Speed Data	A, B	Highway Node	ID

\*Note: See Validation Database Chapter for Macros to import data to TransCAD network.

### ***Linkage to Transit Routes and Stops Layers***

TransCAD has some automation capabilities to simultaneously update an open transit route systems in response to a user modifying the associated highway network geographic file; however, this capability is limited and cannot be strictly relied upon when a user makes a series of significant network modifications. In addition, it has not been tested whether this update occurs when performing a MergeGeography() command (i.e., copying added links from one geographic file to another). Therefore, the analyst should be cautious when deleting or splitting highway links underlying the transit route layer, saving frequently, and testing the integrity of the transit route system through SKIMS (modeling term used to refer to the results of a process to find the zone-to-zone travel times for a given network) when done with the highway network editing.

## ■ 4.4 Network Generation Steps

All of the future scenario highway networks reside in the master highway network in the TransCAD formatted file, **CCTA\_Network.bin**. The analyst must generate the specific highway network to be used. This is done through the macro (TransCAD resource code) provided in the model documentation appendix.

# 5.0 Transit Networks

## ■ 5.1 Introduction

This section describes the transit network and the process used to edit it. In the CCTA model implementation of TransCAD, the transit network consists of two layers overlaid over the master highway network node and line layers: 1) route layer and 2) stop layer.

The transit access links (walk and drive) are stored in the master highway network. Walk access links are Mode 1; drive access links are Mode 2; and transfer links are Mode 3 in the master highway network. Mode 4 links are auto access walk funnel links. Mode 5 links are walk access walk funnel links. A funnel link is the dummy link connecting the park-and-ride lot to the station or the walk point to the station.

The master transit network was developed from the converted MTC years 2000 and 2025 transit networks. These networks had been converted by Caliper from TP+ to TransCAD.

## ■ 5.2 Description of Transit Network

There are a total of 1,704 distinct routes in the master route layer. The stop layer contains 6,047 stops and 154 park-and-ride lots for both 2000 and 2025. The number of routes by scenario and geographic area are shown in Table 5.1.

**Table 5.1 Number of Transit Routes by Location**

	Inside CCTA	Through CCTA	Outside CCTA	Total
2000	138	60	896	<b>1,094</b>
2025	138	68	907	<b>1,113</b>

Note that since many future routes also exist in the base year, the total number of routes in both years is greater than the number of distinct routes in the transit master network.

The model documentation appendix provides a data dictionary for the transit stops layer. This appendix provides the data dictionary for the transit route layer.

## Transit Access and Transfer Links

The transit master network contains the following access and transfer links:

1. **Walk Access Links** - Outside the study area, all the walk access links in the MTC 1998 and MTC 2025 models were combined together in the form of a “master walk access network” and brought into the CCTA master network, along with all the walk access attributes. Inside the study area, walk access links were created from all centroids to all stops/walk aux rail nodes within 0.5 mile of them. For those zones that did not have any stop within 0.5 mile, walk access links were created to the nearest stop to them to a maximum distance of eight miles. Walking speed on the links is assumed as three miles per hour.
2. **Drive Access Links** - Drive access links inside and outside the study area were provided for all the zones that had drive access in the MTC 1998 and MTC 2025 models. For example, inside the study area, if a zone with a drive access link was split into four new zones, all these four zones now have drive access links to the parking lot. The “time” field on the drive access links inside the study area was filled by skimming the network on the congested time.
3. **Transfer Links** - Outside the study area, all the transfer links were brought in exactly as they were. Inside the study area, transfer links were created from all stops to all stops within 0.5 mile to each other.
4. **Auto Access Walk “funnel” Links** - These are the links from a park-and-ride lot to the rail station. Funnel links inside and outside the study area were brought in exactly as they were in the MTC 1998 and MTC 2025 models.
5. **Walk Access Walk “funnel” Links** - These are the links from a walk aux rail node to the rail station. Funnel links inside and outside the study area were brought in exactly as they were in the MTC 1998 and MTC 2025 models.

Table 5.2 provides the data dictionary for the non-motorized transit access links.

**Table 5.2 Transit Non-Motorized Access Links**

<b>Field Name</b>	<b>Field Description</b>
Mode	Transit mode as described in the mode table
Mdistance	Length on the access link (skimmed distance for drive and transfer links) (miles)
MAB_Time	Time taken to walk/drive (3 miles per hour walking speed for walk links and skimmed congested time for drive access links) (minutes)
MBA_Time	Time in the reverse direction (minutes)
AB_NT_Time	MAB_Time*0.01 (hundredths of minutes)
BA_NT_Time	MBA_Time*0.01 (hundredths of minutes)

### Future Year Networks

The future year transit networks were developed based on the following assumptions:

- Ace Train exists in 2000.
- All transit lines in the 2010, 2020, and 2025 scenarios inside the study area have the same route, frequency, and run time as the 2000 routes, except for the following:
  - Track 1 - New train station at Hercules on the Capitol Corridor line;
  - Track 1 - Increased frequency of Amtrak Capitol Corridor line between Oakland and Sacramento from 99 minutes to 60 minutes; and
  - Track 2 - East County Commuter rail on existing tracks from Brentwood to Tracy.
- All routes passing through the study area from outside are kept the same as in the MTC 1998 and MTC 2025 models.

Table 5.3 provides a listing of the mode ID numbers for the transit operators.

**Table 5.3 Mode Table**

Mode Name	Mode ID	Type	Fare Type	Headway	Fare	Maximum Wait	Maximum Access
Highway	0	H					0
WACC	1	W					0
DACC	2	H					0
Transfer	3	W					0
DACC Walk Funnel	4	W					0
WACC Walk Funnel	5	W					0
BEST	10	T			0.10	15.00	5
Broadway_Shuttle	11	T			0.10	15.00	5
EMERY	12	T			0.01	15.00	5
STANFORD_Shuttle	13	T			0.01	15.00	5
CALTRAIN_Shuttle	14	T			0.01	15.00	5
SCVTA_Shuttle	15	T			0.01	15.00	5
Munimetro	20	T			0.80	15.00	20
Muni_CableCars	21	T			1.60	15.00	20
Muni_Richmond_Dist	22	T			0.80	15.00	20
Muni_Mission_Dist	23	T			0.80	15.00	20
MUNI_other	24	T			0.80	15.00	20
SAM_EXP	26	T			0.90	15.00	10
SAM_Coastal	27	T			0.90	15.00	10
SAM_Bayside	28	T			0.90	15.00	10
SAM_90	29	T			0.90	15.00	10
SCVTA_LRT	31	T			0.90	15.00	6
SCVTA_Local	32	T			0.90	15.00	6
SCVTA_Limited	33	T			0.90	15.00	6
SCVTA_EXP	34	T			1.40	15.00	6
DBX	35	T			0.80	15.00	6
AC_TransBay	37	T			1.00	15.00	6
AC_NORTH	38	T			1.00	15.00	6
AC_SOUTH	39	T			1.00	15.00	6
AC_EB_exp	40	T			1.00	15.00	6
WHEELS_Dublin	42	T			0.60	15.00	5

**Table 5.3 Mode Table (continued)**

Mode Name	Mode ID	Type	Fare Type	Headway	Fare	Maximum Wait	Maximum Access
WHEELS_Pleasanton	43	T			0.60	15.00	5
WHEELS_Livermore	44	T			0.60	15.00	5
WHEELS_Intercity	45	T			0.60	15.00	5
UNIONCTY	47	T			0.60	15.00	5
AirBart	49	T			1.60	15.00	5
CCCTA_local	51	T			0.80	15.00	5
CCCTA_EXP	52	T			1.00	15.00	5
TRIDELTA	54	T			0.60	15.00	5
WESTCAT	56	T			0.60	15.00	5
WESTCAT(ML30Z)	57	T			0.80	15.00	5
VALLEJO_Local	59	T			0.80	15.00	5
VALLEJO_Link	60	T			0.80	15.00	5
FAIRFIELD	62	T			0.60	15.00	5
FAIRFIELD(20ECL/WCL)	63	T			0.60	15.00	5
FAIRFIELD(40BEW/BEE)	64	T			0.60	15.00	5
Vacaville	66	T			0.80	15.00	5
Benicia	68	T			0.60	15.00	5
NVT	70	T			0.60	15.00	5
VINE	71	T			0.60	15.00	5
SONOMA_Local	73	T			0.60	15.00	5
SONOMA_Intercity	74	T			0.60	15.00	5
SANTA_ROSA	76	T			0.40	15.00	5
Petaluma	78	T			0.20	15.00	5
Ggt_SF	80	T			1.40	15.00	10
Ggt_SF_FerryFeeder	81	T			1.00	15.00	10
Ggt_FerryFeeder	82	T			1.00	15.00	10
Ggt_Marin_Sonoma	83	T			1.00	15.00	10
Ggt_Richmond	84	T			1.40	15.00	10
FERRY	90	T				5.00	1
FERRY(LARKS/LARKN)	91	T				10.00	1
FERRY(SSLTO/SSFV)	92	T				10.00	1

**Table 5.3 Mode Table (continued)**

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<b>Mode Name</b>	<b>Mode ID</b>	<b>Type</b>	<b>Fare Type</b>	<b>Headway</b>	<b>Fare</b>	<b>Maximum Wait</b>	<b>Maximum Access</b>
FERRY(TIBFB/TIBFW)	93	T				5.00	1
FERRY(VALFB)	94	T				10.00	1
BART	100	T				15.00	2
CALTRAIN	101	T				15.00	2
AMTRAK	102	T				15.00	2
AMTRAK(SJQ)	103	T				15.00	2

---

## 6.0 Master Zonal Database

This section describes the master zonal database and the process used to edit it and create zonal files that are used in the trip generation and mode choice FORTRAN programs.

### ■ 6.1 Introduction

The CCTA Travel Model uses four zonal data files as shown in Table 6.1. The format and content of these zonal data files have been carried over from the MTC model to accommodate specifications in the trip generation and mode choice FORTRAN programs. To ease maintenance, updating, and inspection of the data, all four files were merged into a single, unified database for each analysis year. Prior to running the model, a pre-processing step creates each of these four ASCII files.

**Table 6.1 CCTA Travel Model Zone Data Files**

Data File	Description
ZMAST*.ASC	Master zonal data file
ZHBSK*.ASC	School enrollment data file
ZAGE*.ASC	Population for age group categories
AZLOS*.DAT	Auto zonal level of service file

\*Indicates the analysis year.

### ■ 6.2 CCTA Master Zonal Database

The CCTA master zonal database (CCTA\_2000\_Phase1.DBD) includes all the base economic conditions for the region. This file includes the following:

- Population,
- Number of households,

- Employment categories,
- Income classifications,
- School/college enrollment,
- Age categories, and
- Auto zonal level of service variables.

The variables from each of the above categories are described in a data dictionary and presented in the model documentation appendix. The data dictionary is comprised of the field names, field type, description, and data source for zones inside and outside the CCTA study area.

The variables included certain zone specific characteristics that currently do not exist as part of the LUIS. In order to implement Phase 1, testing of the new CCTA countywide model, the missing data was generated using the existing MTC zonal data. Because all CCTA zones nest within the MTC zones, it was possible to derive the values for each CCTA zone using the MTC data as a reference. For Phase 2, many of the data items were collected from local sources. If the data was from local sources, the derived values by analysis year serve as default values. Below describes how each value was derived using the MTC data as a reference.

- **Total population** - Total population was computed to be the same as household population in each CCTA zone for Phase 1. This procedure was replaced by total population from the 2000 Census in Phase 2 as part of the LUIS update.
- **Households in single and multi-family dwelling units** - Percentage of households in single and multi-family dwelling units to total households was computed for each MTC zone and applied to total households in each corresponding CCTA zone Phase 1. This procedure was replaced by households by type from the 2000 Census in Phase 2 as part of the LUIS update.
- **Income quartiles** - MTC distribution was computed and applied to each corresponding CCTA zone and adjusted as necessary to the average household income in Phase 1. This procedure was replaced by households by income quartile from the 2000 Census in Phase 2 as part of the LUIS update.
- **Share of total population over age 62** - Percentage of population over age 62 in each MTC zone was calculated and applied to total population in each corresponding CCTA zone in Phase 1. This procedure was replaced by the share of population over age 62 from the 2000 Census in Phase 2 as part of the LUIS update.
- **Agricultural, manufacturing, and wholesale employment categories** - The sum of agricultural, manufacturing, wholesale, and other employment was computed to derive total other employment for each MTC zone. Percentage of each category to the total other employment in each MTC zone was calculated and applied to other employment category in each CCTA zone in Phase 1. This procedure was replaced by employment by type updated in the LUIS in Phase 2.

- **School, college enrollment** - In Phase 1, there was no valid method to derive these using the existing MTC or CCTA zonal data. In Phase 2, we compiled enrollment data for grade/middle school, high school, and college from the California Department of Education (CDE) web site. As part of this process, we requested and received a geographic information systems (GIS) file of all school locations from Caltrans.
- **Calculation of age group profiles** - Percentage of population in each age group to total population was calculated for each MTC zone and applied to the total population for each corresponding CCTA zone in Phase 1. This procedure was replaced with age group profiles from the 2000 Census as part of the LUIS update.
- **Auto zonal level of service variables** - These variables have information pertaining to parking costs and terminal times for each zone in the CCTA model. The majority of these values are calculated as part of the modeling process; however, parking cost in the peak and off-peak time periods is a required input of this dataset. For Phase 1, the MTC data was used as the default values.

## ■ 6.3 CCTA Master Zonal Database Editing Considerations

The master zonal database can be easily edited to modify values of all variables using TransCAD software. If any of the values change, the four zonal ASCII files should be re-created using the GISDK script.

In case of zone splits and addition of new zones, the following steps should be taken to ensure the accuracy and consistency of the database:

1. Enter values for all the data fields in the new zone.
2. Apply appropriate percentage/aggregation of MTC data for those land use variables inside the study area for which data is not provided by the Authority (e.g., residential acreage (RESACRE); and commercial, industrial, and agricultural acreage (CIACRE)).
3. Perform error checks on the land use files (e.g., if RESACRE and CIACRE are both zero, the BAYCAST executable FORTRAN program will crash in home-based work trip generation).
4. Run the GISDK program to create the four ASCII zonal data files.
5. Resize K-factor matrices to represent the new zone system. This can be done by creating a TransCAD Dataview with the old and new zones and using the “Matrix – Disaggregate” feature.
6. Resize mode choice calibration delta files (HBSHMC29.DLT, HBSRMC29.DLT) to represent the new zone system. Calibration deltas for the new zone can be assumed to be the same as that of the old zone or the nearest zone.

## 7.0 CCTA Model

This section describes the procedure to run the CCTA model and export the output files to create screenline analysis spreadsheets.

### ■ 7.1 CCTA Travel Model Flowchart

A detailed flowchart was developed to better understand the inputs and outputs to each of the modules in the CCTA Travel Model. A list of input and output files specific to a scenario can also be found by clicking the 'SETUP' button in the 'CCTA Planning Model' interface.

Figure 7.1 has the flowchart for the 2000 model. Flowcharts for year 2025 are available upon request. The flowchart has a list of model input, output, and model programs used in each module.

### ■ 7.2 Steps to Update Screenline Analysis and Validation Spreadsheets

After performing highway assignment, the model updates a database file called `Screenline_links_withvol.DBF` with the final traffic volumes for all time periods. This DBF file is used to update traffic volumes in the spreadsheet reports. The following are three spreadsheets that store highway assignment results for the a.m. peak period, p.m. peak period, and daily assignments:

- **SCREENLINE\_LINKS\_WITHVOL.XLS** - This spreadsheet reads traffic volumes from the DBF file of the same name. The DBF file should be opened to refresh the screenline volumes. The pivot point tables in the sheet named "ALL", "Volume-Based", and Link-Based" should be refreshed to update the facility/area type and link-based validation tables.
- **CCTAScreenlines\_1page(nolinks).xls** - This spreadsheet has the detailed screenline analysis reports. Opening the `SCREENLINE_LINKS_WITHVOL.XLS` file will update all the screenline volumes.

**Figure 7.1 CCTA Travel Model Flowchart**

**LEGEND**



**Model Programs**

- GISDK - CCTA TransCAD Macros
- BAYCAST - MTC Fortran Programs
- MTCFCST - MTC Fortran Programs
- BAYMODE - MTC Fortran Programs
- LAYCAST - MTC Fortran Programs



**Input Files**

- Files Obtained directly from MTC



**Output Files**

- Files generated by the Programs



**Output Files**

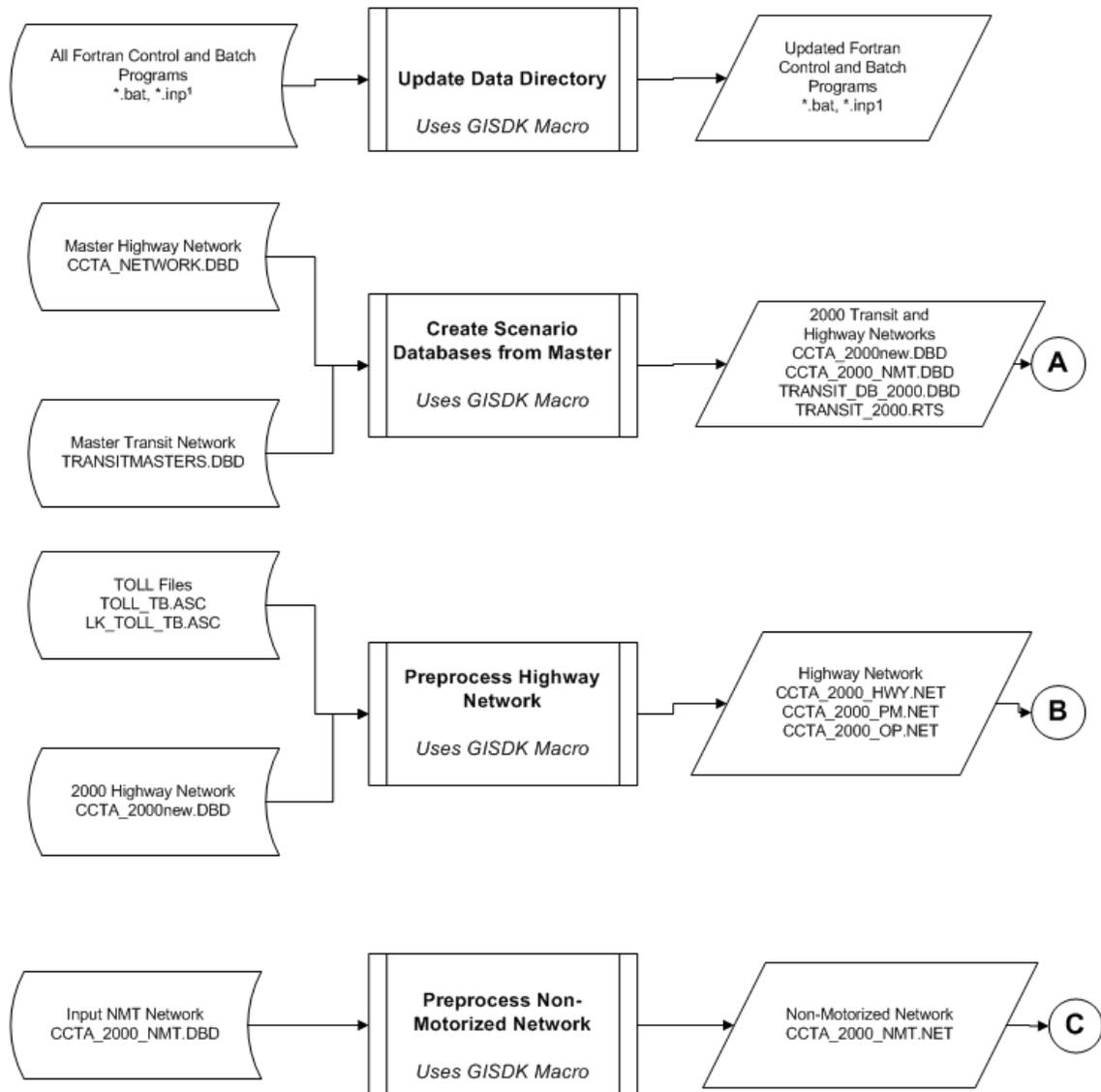
- Files generated by the Programs and used in a later program



**Report Files**

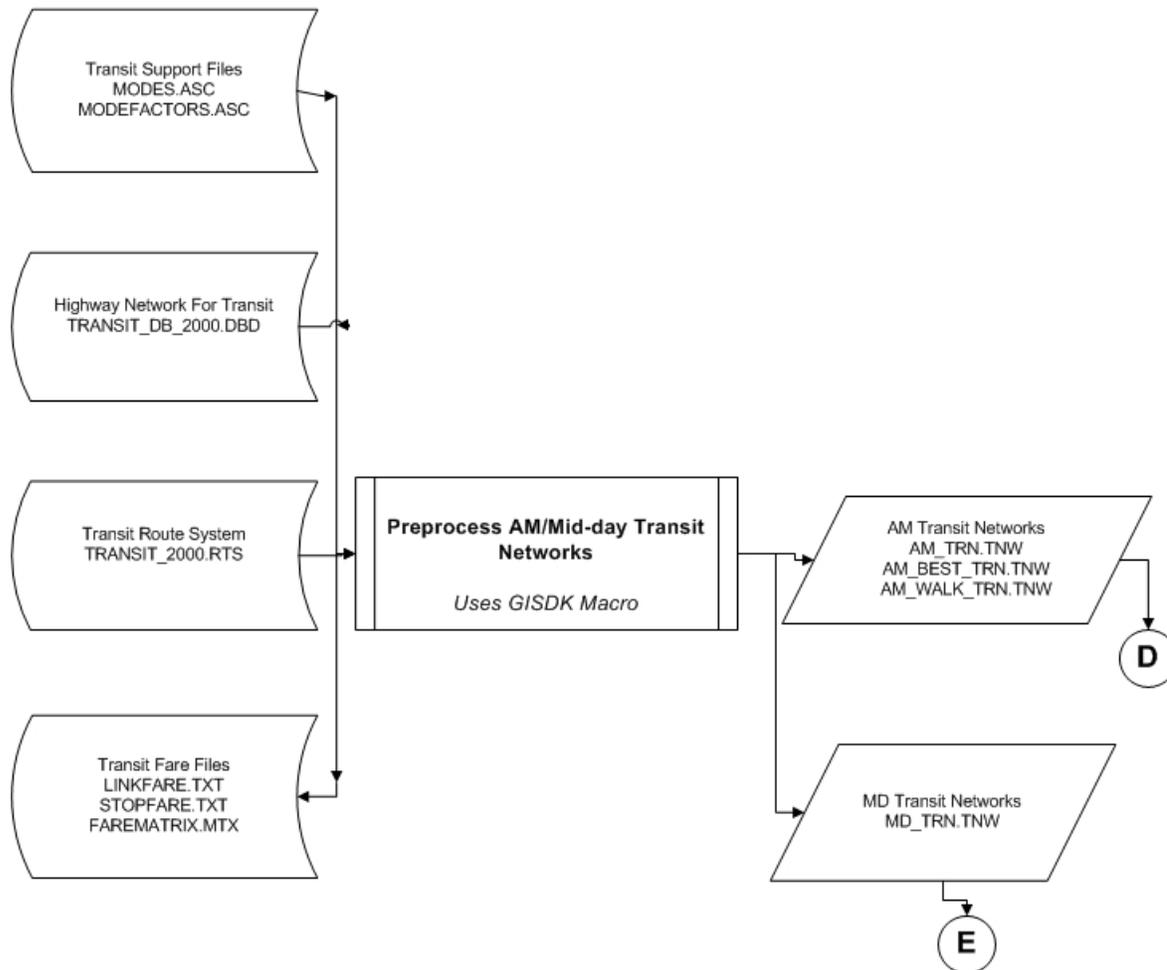
- Text files generated for information only

## Initialization

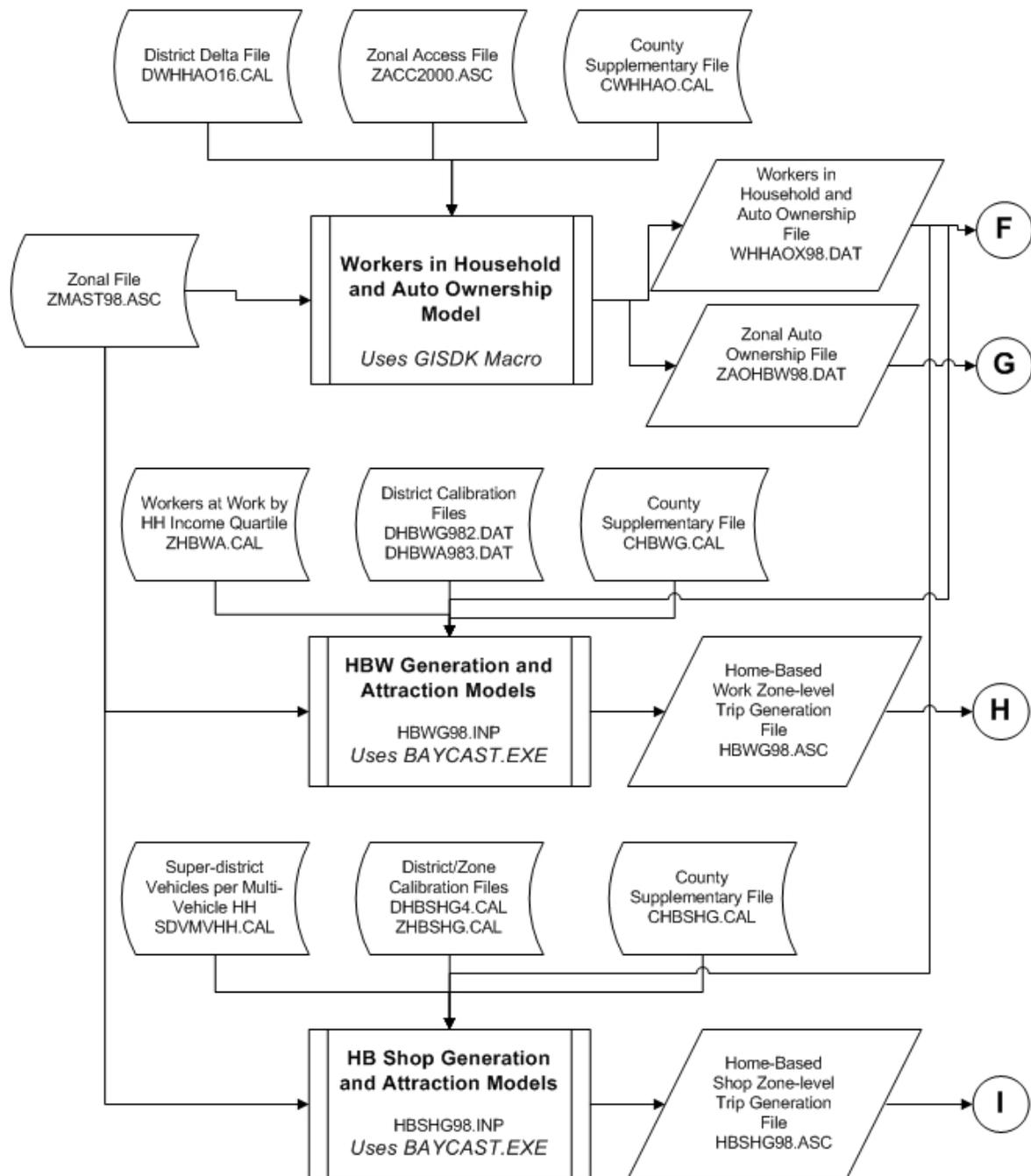


<sup>1</sup>. HBWG98.INP, HBSHG98.INP, HBSRG98.INP, NHB98.INP, HBWMCQ1.INP, HBWMCQ2.INP, HBWMCQ3.INP, HBWMCQ4.INP, HBWDT.INP, HBSHMC.INP, HBSRMC.INP, NHBMC.INP, PKDAIZ.INP, PKS2IZ.INP, PKS3IZ.INP, FFTIZ.INP, HLOSPREP.INP, IZNMT.INP, TRANLOS.INP, TLOSPREP.INP

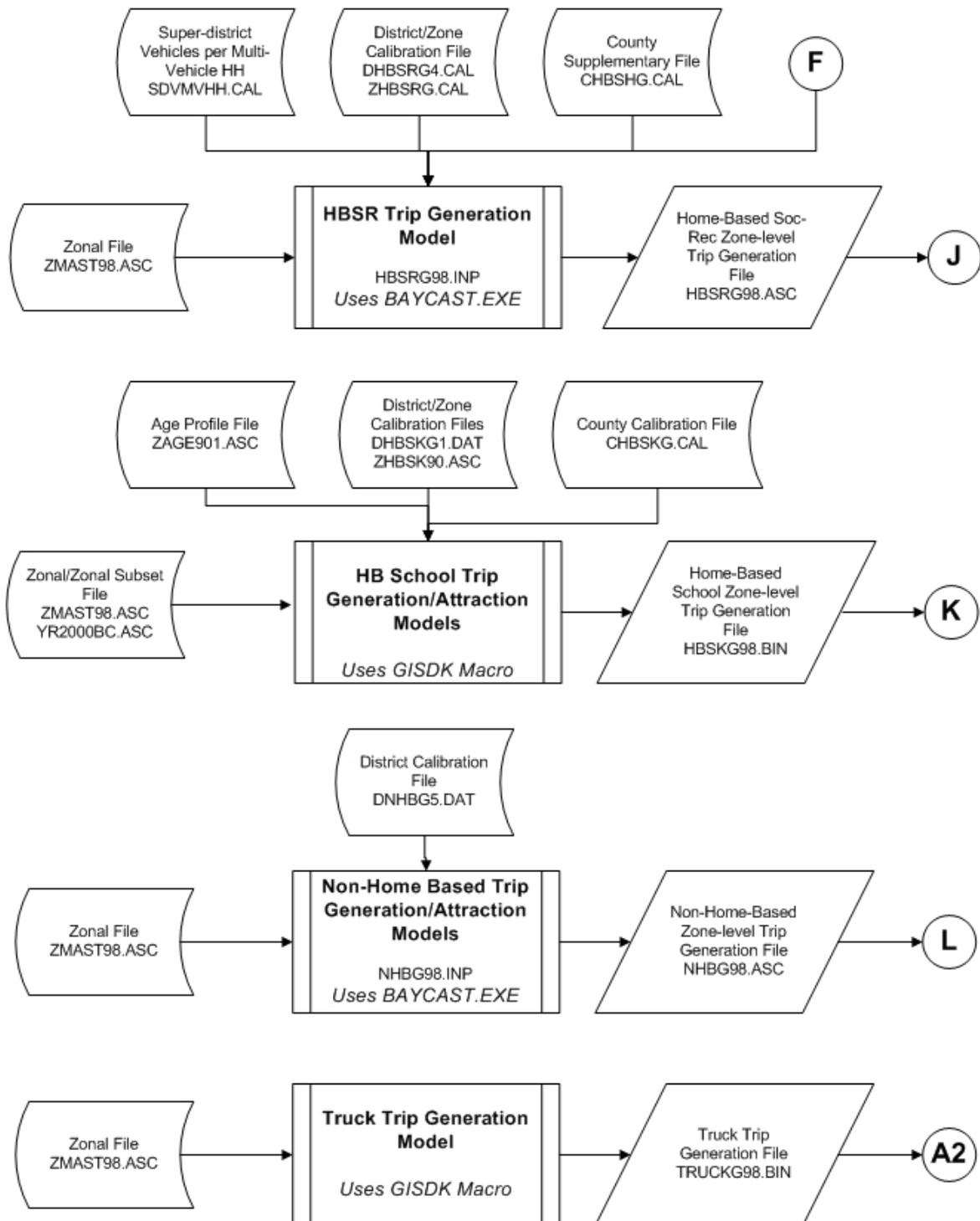
## Initialization (Continued)



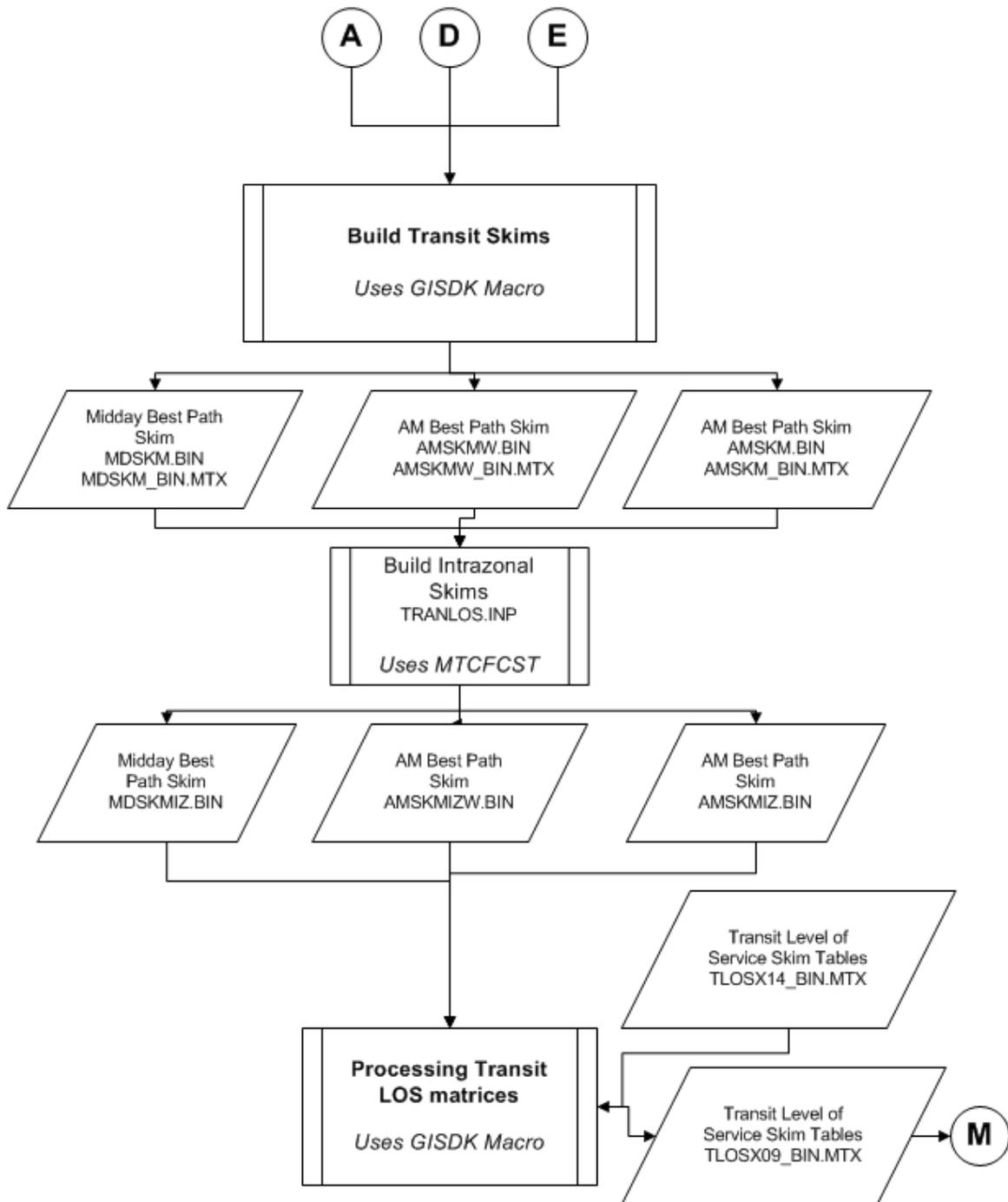
# Trip Generation Models



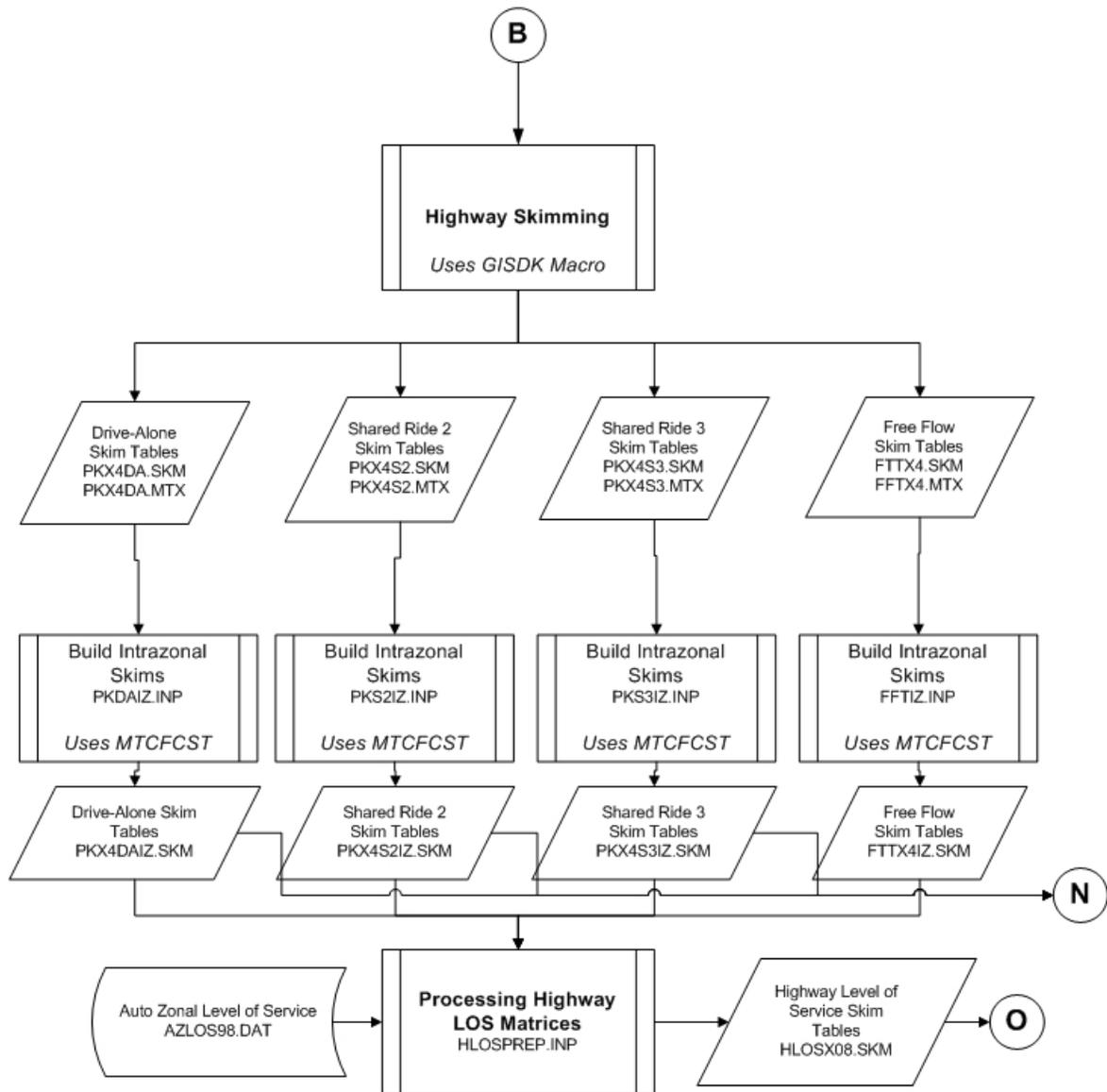
## Trip Generation Models (continued)



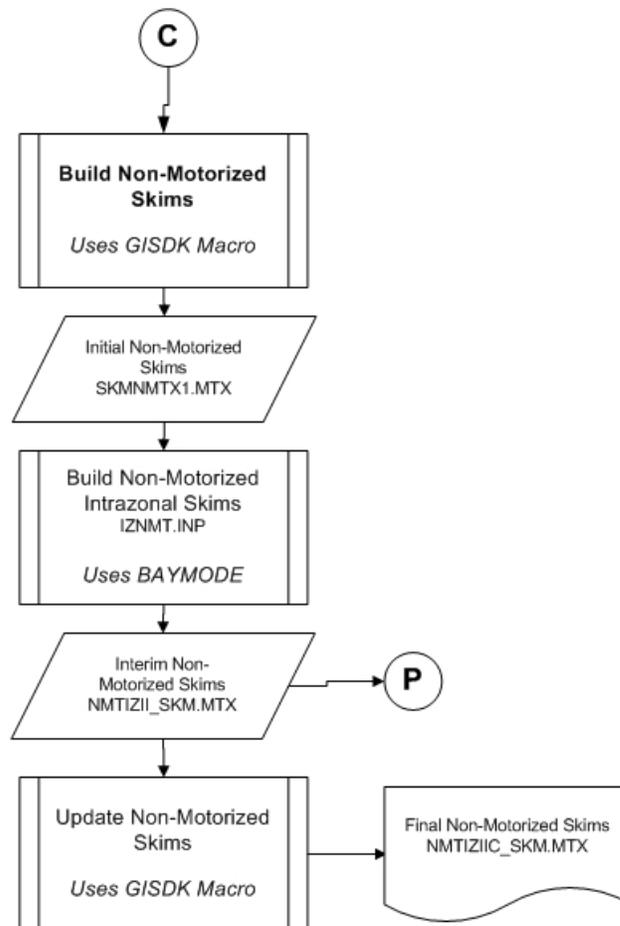
# Network Skimming (Transit Skims)



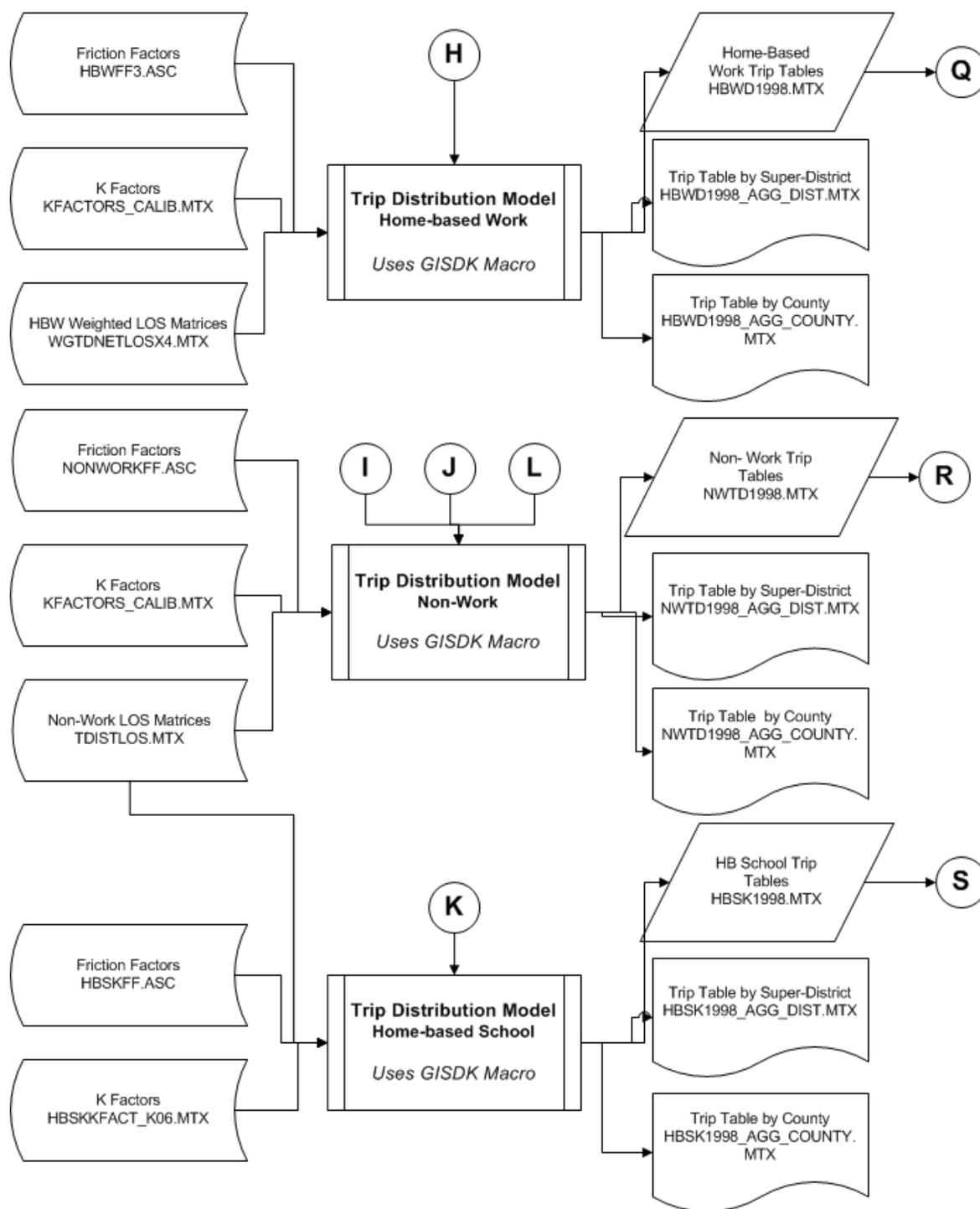
## Network Skimming (Continued)



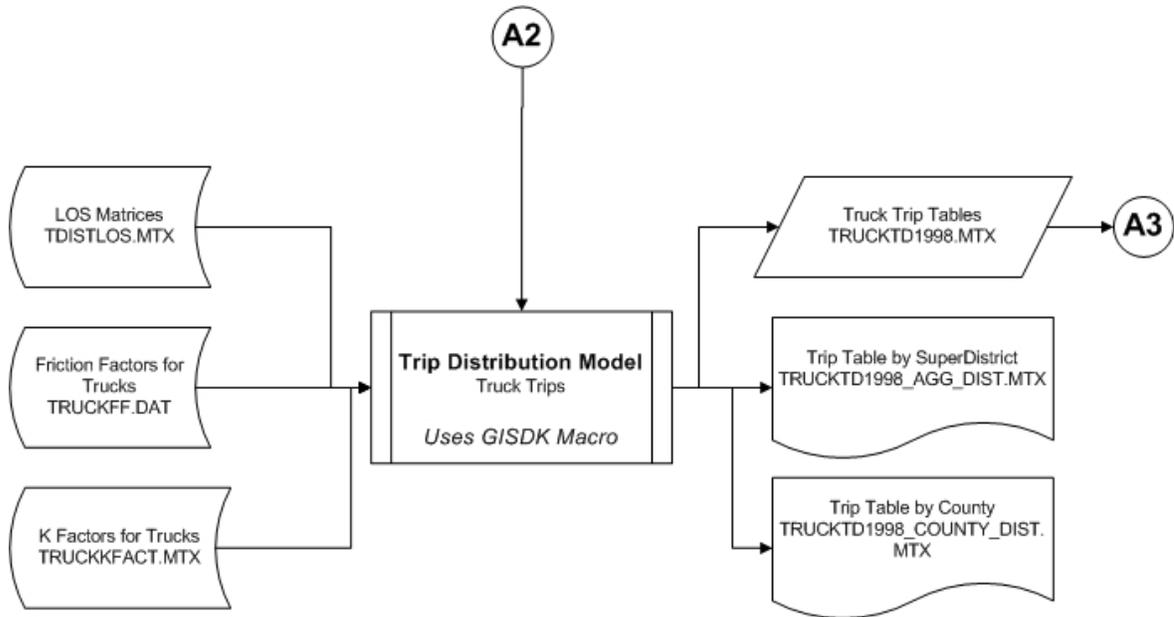
## Network Skimming (Continued)



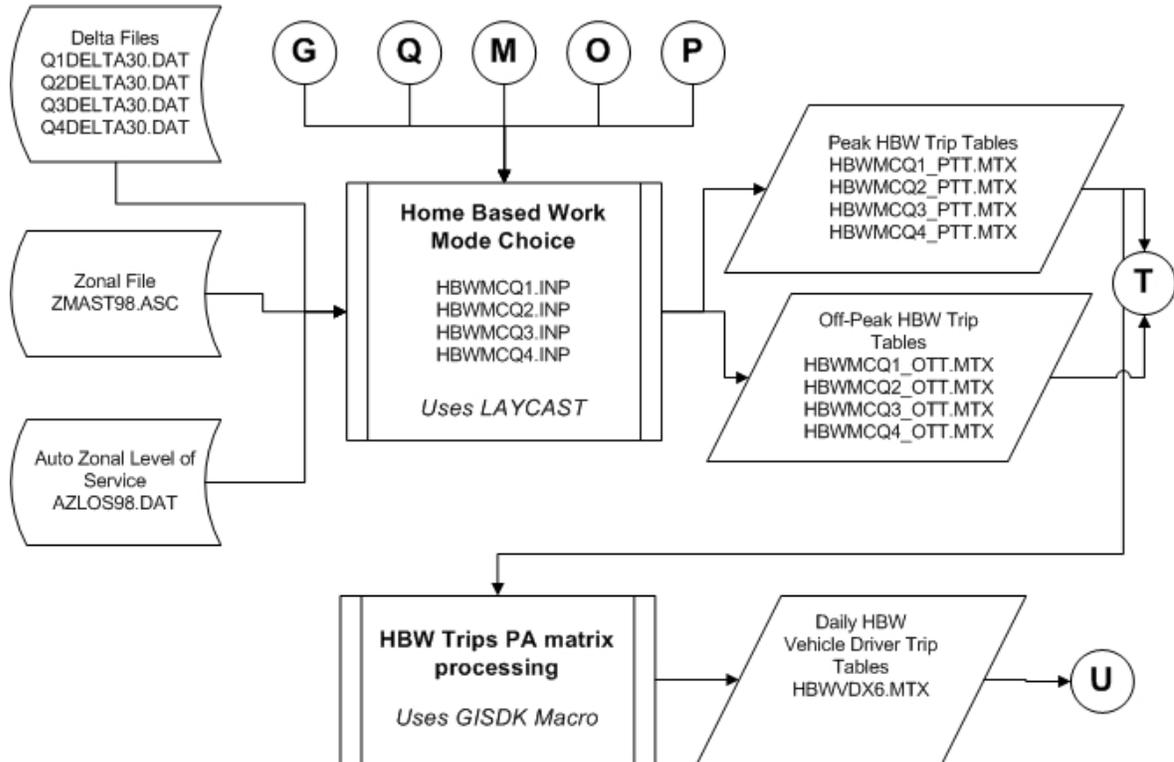
# Trip Distribution



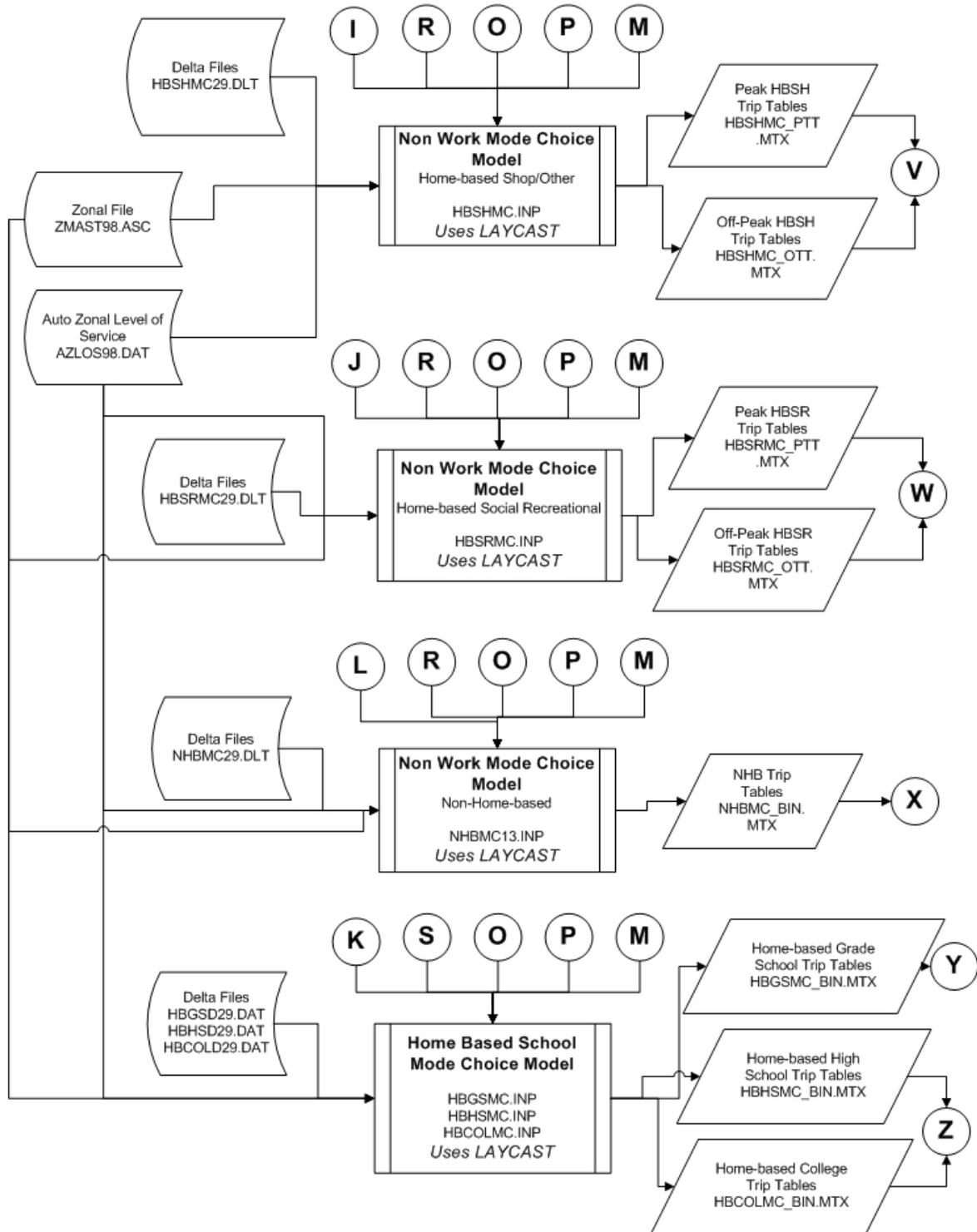
## Trip Distribution (Continued)



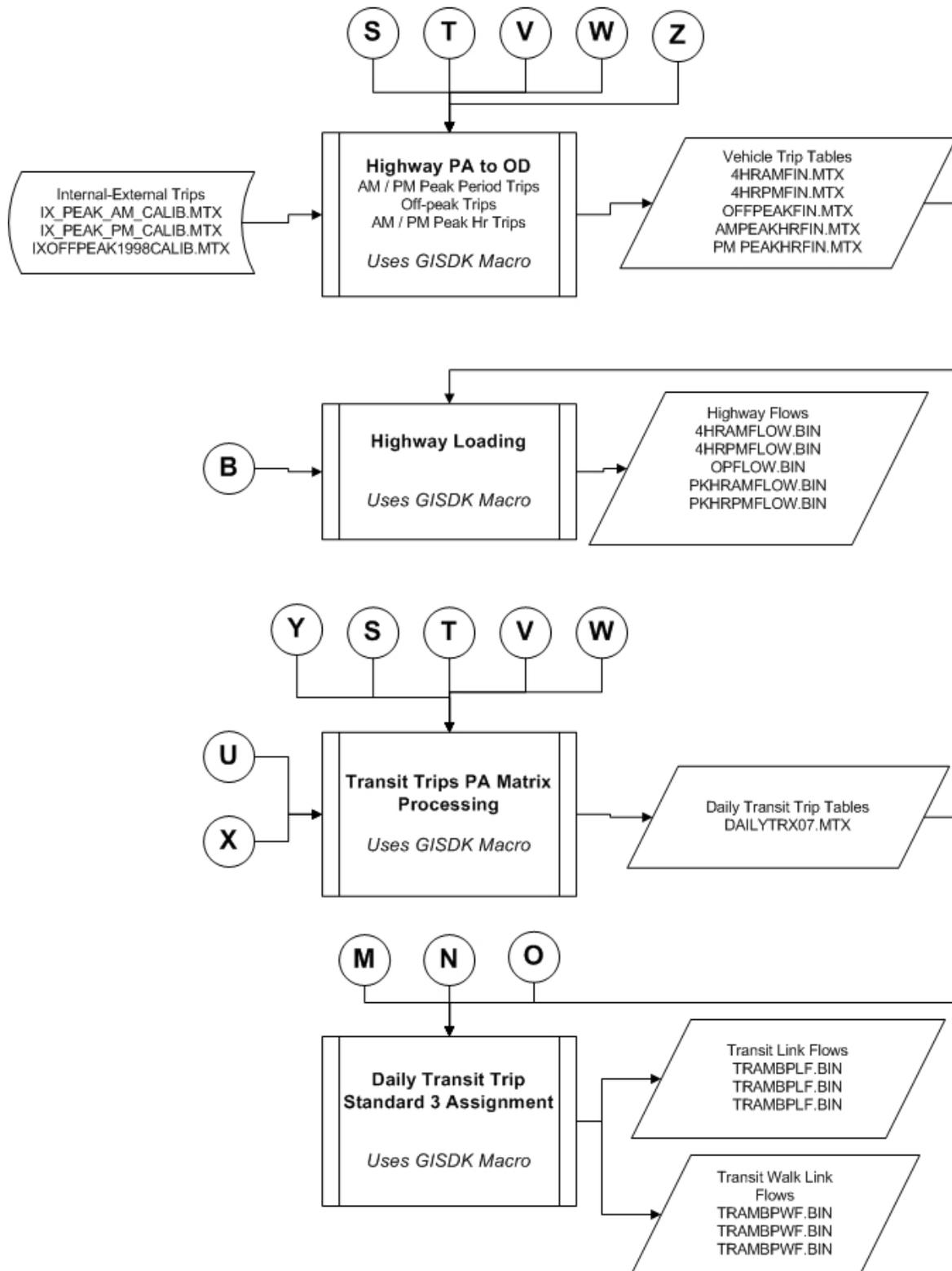
# MODAL SPLIT



## MODAL SPLIT (Continued)



# Highway and Transit Assignment Models



- **Validation tables.xls** - This spreadsheet has the final facility/area type, volume group, and link-based validation reports. Opening the SCREENLINE\_LINKS\_WITHVOL.XLS file will update all these reports.

Formulas with file and path references should automatically change when the spreadsheets and DBF files are opened. If they do not, perform a global 'find and replace' to update the file path to the correct data directory.

## 8.0 Forecasts

### ■ 8.1 Introduction

The CCTA model is set up to run the Year 2000 calibration scenario, and the five future year scenarios shown in Table 8.1.

**Table 8.1 Future Scenarios**

Scenario	Socioeconomic Data (Year)	Network Improvements
1. Existing	2000	Existing conditions as of 2000/2001, including recently completed major highway projects
2. TIP	2010	The 2002 Regional Transportation Improvement Program (RTIP/STIP) projects
3. CMP	2010	Authority's 20031 Congestion Management Program, Capital Improvement Program (CMP/CIP)
4. RTP #1 2020	2020	MTC 2001 Regional Transportation Plan (RTP) Update Track 1 Improvements.
5. RTP #1 2025	2025	Same as Scenario 4
6. RTP #2	2025	MTC's Blueprint; the RTP Track 2, plus selected projects from the Authority's Comprehensive Transportation Project List (CTPL)

### ■ 8.2 Data Requirements for Forecast

The CCTA travel model requires the following forecasts of input data in order to forecast future travel demand:

1. Socioeconomic Activity Forecasts;
2. Special Generators;

3. Miscellaneous Files;
4. Future Year Highway Network Improvements;
5. Future Year Transit Network Improvements; and
6. Future Year Intersection Geometry.

## ■ 8.3 Socioeconomic Forecasts

The forecasted socioeconomic data required by the CCTA model are shown in the model documentation appendix. The data is stored in a “master zonal” file. The major categories are:

- Population (Total by age group);
- Household Population;
- Households;
- Number of households by Income Quartile;
- Employed Residents;
- Employment by Category;
- Mean Household Income by Income Quartile;
- School/College Enrollment;
- Total Acreage in Zone; and
- Auto Zonal Level of Service Variables.

Almost all of the forecast values for this data are contained in the Land Use Information System (LUIS) for the CCTA model area. For the MTC region, the values are obtained from the MTC web site.

Forecasts of population, household, income, and employment data by zone were developed by EPS for inside Contra Costa and Tri-Valley. Forecasts of these data for the rest of the MTC region were taken directly from MTC forecast files for the region.

Residential, commercial, industrial and agricultural acres in a zone was not present in the LUIS database. They were calculated based on their percentage of total acres in the original MTC zones.

Future-year school enrollment is not forecasted by MTC or ABAG, and is not readily available for incorporation into this effort. Therefore, for this model development effort, future year enrollment has been set equal to year 2000 enrollment for all existing schools. Future school locations were provided by John Cunningham for Dougherty Valley. Enrollment for each new school was estimated using the table below (Table 8.2).

**Table 8.2 Enrollment Assumptions for Future Schools**

New School	TAZ	Enrollment	Year
High School	40196	2,000	2007
Elementary School	40193	700	2010
Middle School	40191	1,150	2005

Total acreage for each zone is assumed to be unchanged in future years. It would only change if the zone boundaries were changed.

Auto Zonal Level of Service variables contain information on the parking costs and terminal times for each zone in the CCTA model. Most of these values are sensitive to network changes and could be calculated as part of the modeling process. Currently however, the terminal times and the parking costs are obtained from the MTC forecast files for both the base and the forecast years.

## ■ 8.4 Special Generators

Special generators that were identified for addition to the CCTA Countywide travel model included hospitals, military bases, shopping malls, retirement homes and regional parks. The reviewed and recommended special generators are shown in Table 8.3. Note that all the special generators in the base year remain the same in all the forecast years. The only new special generator in the forecast years is the Deer Valley Medical Center.

## ■ 8.5 Miscellaneous Files

Some data files other than the land use files were found to differ between the MTC 2000 and 2025 Models. A few of these are calibration files (dhbwg251.dat, dbhwa251.dat ) used in trip generation and others are 'delta' files (HBSMC.DLT, HBSRMC.DLT and NHBMC.DLT) used in Model Split. The intrazonal daily peak and off-peak trip input matrices also differ between years. All these files were converted from 1,099-zone system to Phase II zone system for the year 2025 using files from the MTC 2025 model. It is assumed that all these calibration and data files remain the same for all the forecast years (2010, 2020, and 2025).

**Table 8.3 Special Generators**

	Name	Special Generator Trips	Special Generator Employees	Remaining TAZ Employees	Type of TAZ Employees	Year Added
1	Contra Costa Regional Medical Center	3,954	373	738	Service	2000
2	John Muir Medical Center	5,518	731	702	Service	2000
3	Kaiser Permanente Walnut Creek Medical Center	4,602	521	445	Service	2000
5	Veterans Affairs Northern California Health Care	3,745	326	185	Service	2000
6	Doctors Medical Center	4,641	530	550	Service	2000
7	Mt. Diablo Medical Center	4,901	590	710	Service	2000
8	Sutter Delta Medical Center	3,416	250	39	Service	2000
9	Valley Care Health System	3,735	323	1,692	Service	2000
10	Broadway Plaza/Downtown Walnut Creek	31,725	1,076	546	Retail	2000
11	Sun Valley Mall	51,137	2,261	2,212	Retail	2000
12	County East Mall	27,217	848	-848	Retail	2000
13	Hilltop Mall	56,896	2,669	-1,709	Retail	2000
14	Stoneridge Mall	47,526	2,017	-1,837	Retail	2000
15	Parks Reserve Forces Training Area	5,395	741	-589	Service	2000
16	Rossmoor Retirement Home	18,576	8,000	0	Residents	2000
17	Deer Valley Medical Center	4,195	811	0	Service	2010

Note: All the special generators in the base year remain the same in all the forecast years. The only new special generator in the forecast years is the Deer Valley Medical Center.

The transit stop fare and link fare files also changed between the base and the MTC 2025 model. Since the 2000 transit network is used for 2000 and 2010, the year 2000 MTC fares are used for those years. Similarly, since the MTC 2025 transit route system is used for the subsequent years, the corresponding transit files (e.g., stopfare, linkfare, modes, modefactors, and farematrix) are used for the respective future forecasts to avoid errors due to differences in route systems between years.

## ■ 8.6 Highway Network Forecasts

The year 2000 highway network was created as part of the model calibration and validation process. The future year network builds upon the 2000 network using a “master network” approach. New links, link deletions, and link edits are specified for each future scenario using one large database that includes all link data for all scenarios.

## ■ 8.7 Transit Network Forecasts

The future year transit network modifications to the master transit network were created in much the same manner as for the future highway networks. Two sources were used as detailed in Table 8.4.

**Table 8.4 Sources of Each Network Scenario**

Scenario	Year	Description	Inside Study Area	Outside Study Area
1. Existing	2000	Existing conditions as of 2000/2001, including recently completed major highway projects (MTC 2000 outside study area)	CCTA 2000	MTC 2000
2. TIP	2010	Network Scenario 1 + Year 2000 RTIP/STIP projects (MTC 2010 outside study area)	CCTA 2000 + Add from Table	MTC 2010 TIP network for highway, 2000 network for transit
3. CMP	2010	Network Scenario 2 + Authority's 2001 CMP CIP (MTC 2010 outside study area)	CCTA TIP + 2003 CMP CIP Update*)	MTC 2010 TIP network for highway, 2000 for transit
4. RTP #1 2020	2020	Network Scenario 2 + Track 1 RTP consistent with the 2001 RTP Update (MTC 2025 RTP Track 1 outside study area).	CCTA Track 1 as specified in CCTA database	MTC RTP Track 1 network
5. RTP #1 2025	2025	Same as Network Scenario 4	Scenario#4	Scenario #4
6. RTP #2	2025	Network Scenario 4 + selected projects from the CTPL based upon MTC's Blueprint (MTC 2025 Blueprint outside study area)	CCTA CTPL	MTC RTP Blueprint Network for highway, Track 1 for transit.

\*To be completed. Use 2025 CTPL in the interim.

## ■ 8.8 Future Intersection Geometry

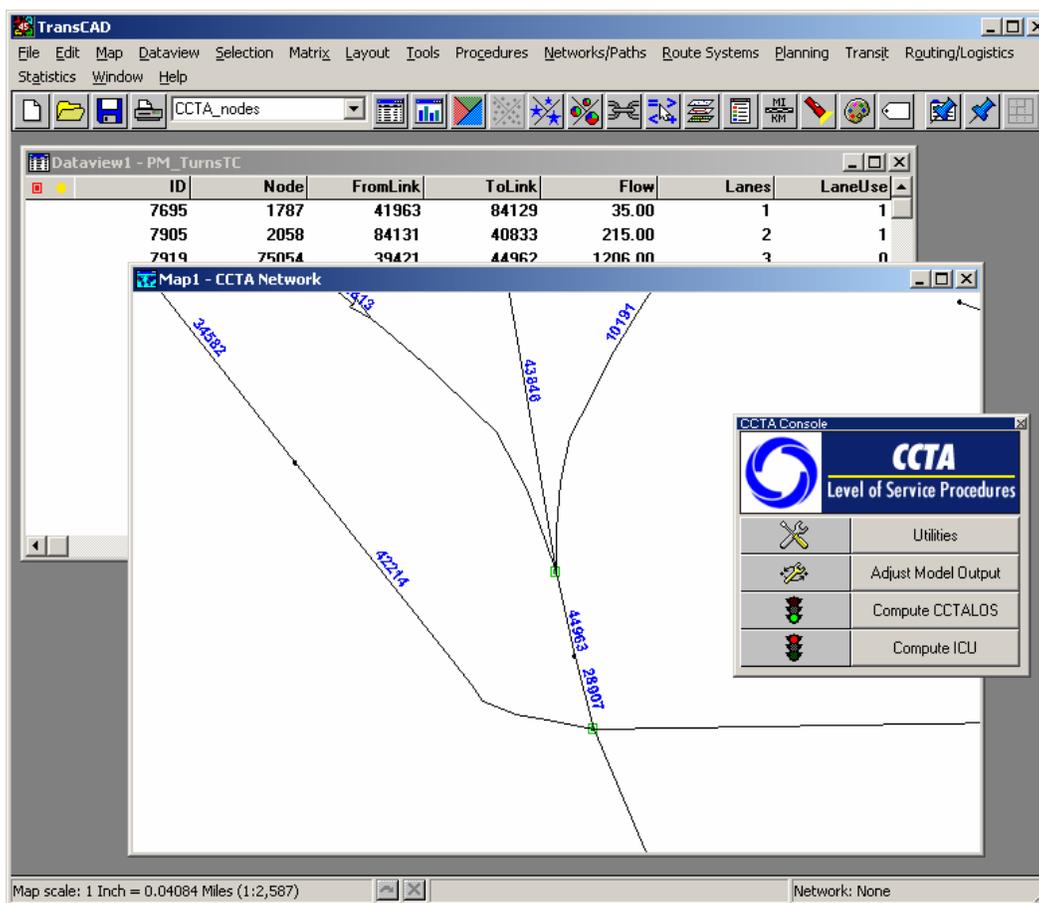
Base year (2000) traffic counts and future year geometry must be input into the CCTALOS files for intersection level of service analysis (see next chapter for details).

# 9.0 Level of Service

## ■ 9.1 Introduction

This User's Guide describes in detail the Contra Costa Transportation Authority Level of Service (CCTALOS) Program in TransCAD (Figure 9.1) and provides instructions for its use. In this document, you will learn how to install and launch the Add-in and how to use it to apply Furness adjustment to future year turning volumes and to compute signalized intersection level of service with the CCTALOS method, as well as the Intersection Capacity Utilization (ICU) method.

**Figure 9.1** TransCAD CCTA Level of Service (CCTALOS) Procedures

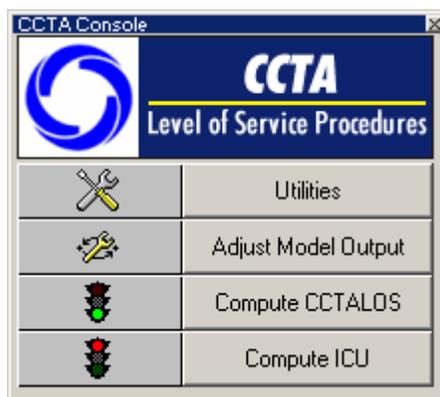


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The CCTALOS custom application performs the following procedures:

- Applies Furness adjustment to future year approach and departure volume estimates at intersections,
- Computes the CCTALOS at signalized intersections, and
- Computes the ICU at signalized intersections.

This User's Guide describes the inputs and outputs for each procedure, and how to manage those inputs and outputs through the user interface. The CCTALOS procedures are launched from the CCTA Console dialog box, shown below.



The Utilities button provides three utilities that enable the user to prepare the input data for the Furness adjustment and CCTALOS computation. These utilities are Import, a procedure for importing CCTALOS datasets into the format required by the CCTALOS calculator; Create Movement Table, which creates a turning movement table from the network geography with the necessary fields for computing the CCTALOS; and Choose Input Files, which opens a dialog box that allows the user to choose the input files that will be available to the Furness adjustment step. From the Choose Input Files dialog box, the user will be able to select base year turning movement count files, base year turning movement model estimate files, and turning movement model forecast files.

The second button, Adjust Model Output, opens a dialog box used to apply the Furness adjustment to future year model estimates of turning movement counts using base year counts and model estimates to estimate model bias.

The third button, Compute CCTALOS, launches a dialog box that allows the user to setup the parameters and input fields for calculating the CCTALOS at signalized intersections, either one at a time through an interactive toolbox or in batch.

The fourth button, Compute ICU, launches a dialog box that is used to setup the parameters and input fields for computing the ICU at signalized intersections interactively or in batch.

The rest of this guide explains the installation and use of the CCTA Console dialog box in greater detail.

## **Installing the CCTA Console Add-in**

The custom Add-in is packaged in an easy-to-install setup program. The setup program is packaged in a file called, "install.exe." To begin installation, double-click on the file from within Windows. You will be prompted for the directory where TransCAD is installed.

This step only needs to be run one time (per computer). After it is installed, running the Add-in is as simple as running TransCAD. First, start TransCAD, then go to Tools-Add-ins and choose the Add-in called CCTA Console. Click on OK to launch the custom add-in.

The installation will install a set of Tutorial files for practicing and testing the CCTALOS procedures. These files are as follows:

- A geographic database called, "cctalos\_example.dbd," which contains line and node layers containing input data for the CCTALOS and ICU methodologies;
- Three turning movement volume files, TM\_Counts.bin, TM\_CurrEst.bin, and TM\_ForeEst.bin, which contain base year turning movement counts, base year model estimates, and future year model estimates, respectively; and
- A workspace called, "ccta.wrk," which opens the urbanstreets.dbd database and the future year model estimates file, TM\_ForeEst.bin. You should be able to begin using the CCTA procedures immediately after opening ccta.wrk and launching the add-in.

## **Launching the CCTA Console**

Once the Add-in is installed using the steps described above, the main dialog box is launched through the Add-ins item in the Tools menu in TransCAD.

1. If TransCAD is not running, launch TransCAD.
2. Choose Tools-Add-ins.
3. Choose CCTA Console and click OK to display the CCTA Console dialog box. (If you do not see CCTA Console in the Add-ins window, click Cancel and INSTALL the Add-in by following the directions above.)

## ■ 9.2 Working with the CCTA Console

This section of the user's guide describes in detail each of the procedures available through the CCTA Console. The first three routines described below are accessible through the Utilities console button. Clicking the Utilities button opens the CCTA Utilities dialog box, shown below.



### Importing CCTALOS Datasets

The import feature allows the user to use preexisting CCTALOS input data for computing the CCTALOS in TransCAD. The import procedure requires three input tables:

1. A table describing the TransCAD geography for the input data. This table must contain the following fields, with the exactly the following field names:
  - a. Node\_ID
  - b. NLegApp\_ID, NLegDep\_ID
  - c. SLegApp\_ID, SLegDep\_ID
  - d. WLegApp\_ID, WLegDep\_ID
  - e. ELegApp\_ID, ELegDep\_ID

Node\_ID should contain the TransCAD node ID of the intersection. The remaining fields contain the TransCAD link IDs for the north, south, west and east approach (App) and departure (Dep) links intersecting at the node. All should be integer fields.

2. A table containing the lane geometry at the intersections. This table must contain the following fields, with exactly the following field names:
  - f. Node\_ID
  - g. Phases

- h. NS\_Split, EW\_Split
- i. SBR, SBT, SBL
- j. NBR, NBT, NBL
- k. WBR, WBT, WBL
- l. EBR, EBT, EBL

Node\_ID should be an integer field containing the TransCAD node ID of the intersection. Phases should be an integer field containing the number of phases at the intersection. The remaining fields should be string fields. The values in the NS\_Split and EW\_Split fields are not case-sensitive, and should contain “no” or “yes” in either any of the following forms: “N”/”Y” or “Yes”/No.”

Fields SBR through EBT should be filled with strings of the form “2.1,” where “2” is the number of lanes for the turning movement and “1” is the lane use code for the turning movement.

3. A table containing the turning movements at the intersections. This table must contain the following fields, with exactly the following field names:

- m. Node\_ID
- n. SBR, SBT, SBT
- o. NBR, NBT, NBT
- p. WBR, WBT, WBT
- q. EBR, EBT, EBT

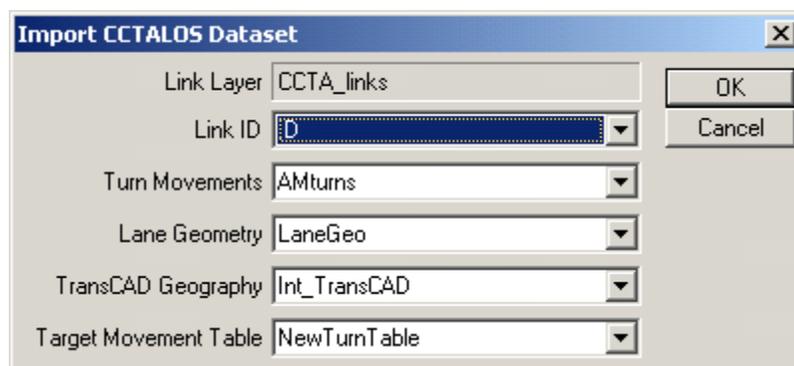
Node\_ID should be an integer field containing the TransCAD node ID of the intersection. Fields SBR through EBT should be integer fields filled with the turning movement volumes.

All of the above three tables must be open when you click the Import button in the CCTA Utilities dialog box. The program will warn you if any of the input files is not open or does not contain the appropriate fields. In addition, a fourth table should be open in your workspace. This fourth table is the turning movement table that will contain the turning movement data in the format required by the CCTALOS calculator (see Creating a Turning Movement Table in the next section). The following fields with the following field names must be in the table:

- Node\_ID
- FromLink
- ToLink
- Flow

- Lanes
- LaneUse

Before clicking the Import button, open the geographic database containing the line layer and node layer that you want filled with the dataset values. When you click on the Import button in the CCTA Utilities dialog box, the Import CCTALOS Dataset dialog box, shown below, will appear.



The link layer in the current map will automatically be selected. The user should select the link layer field containing the IDs that correspond to the IDs in the TransCAD geography table and to the IDs in the FromLink and ToLink fields in the turning movement table to be updated. Note also that the link layer should contain two character fields called, “AB\_Split” and “BA\_Split,” which will be filled with the split phasing values in the lane geometry input table. Also, the node layer should have an integer field called, “NumPhases,” which will be filled with the number of phases value in the lane geometry input table. Make sure the appropriate input tables are chosen in the drop-down lists. Click OK to run the import.

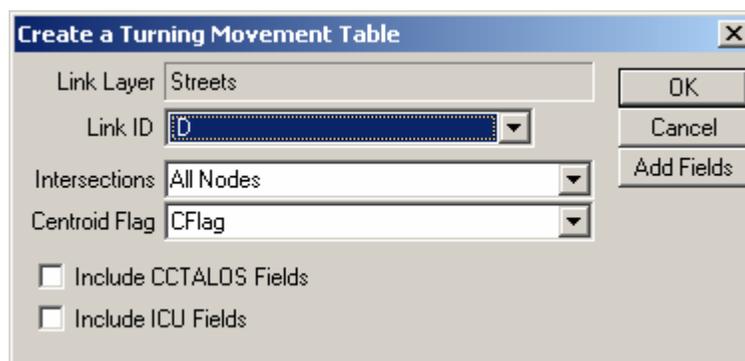
When the import is complete, it is recommended that the user study the warning messages generating during the import. These warning messages will give the node and/or link IDs where inconsistencies were found between the network and the input files that prevented importing those values at those intersections. The warning messages are written to the TransCAD log file, which may be accessed by clicking Display under the Log file on the Logging tab in Edit-Preferences.

## Creating a Turning Movement Table

The CCTALOS and ICU computations require turning movement tables as input. These turning movement tables contain a record for each turning movement in the network. The table will contain the following base fields:

1. Node\_ID - The node ID at which the turn movement occurs;
2. FromLink - The approach link for the turn movement; and
3. ToLink - The departure link for the turn movement.

When you click on the Create Movement Table button in the CCTA Utilities dialog box, the Create a Turning Movement Table dialog box, shown below, will appear.



To create a turning movement table, the link layer for which you want the turning movements must be the active layer. The link layer will, thus, be chosen automatically and displayed in the dialog box. Select the link layer field containing the link IDs to be placed in the FromLink and ToLink fields.

In the Intersections drop-down list, choose the selection set containing the nodes for which you want to generate turning movement records. This selection set might contain only the nodes that represent signalized intersections in the network. By default, the user may generate turning movement records for “All Nodes” in the network. For very large networks, this may result in a large file. Thus, it is recommended that a selection set is used to avoid creating turning movement records at nodes for which the LOS is not relevant.

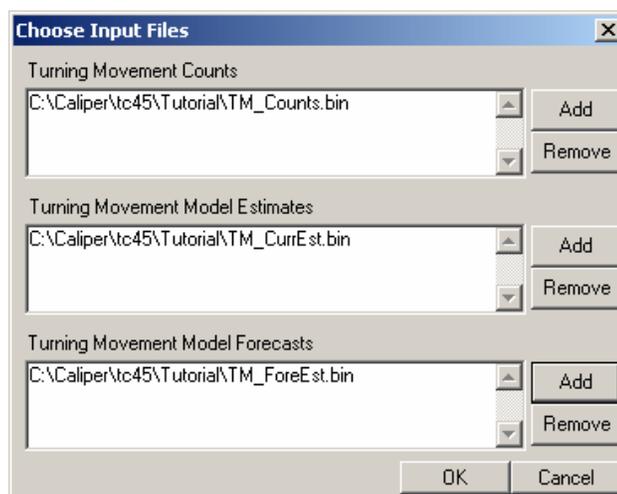
The Centroid Flag field is an integer field in the node layer table that has a null value for all real intersections, and a non-null value for centroids. The Centroid Flag field is optional. If you choose a field in the list, turning movements that involve centroid connectors will have a value of -1 in the FromLink or ToLink field indicating a centroid connector.

In the Create a Turning Movement Table dialog box, the user may select more fields to be added to the turn movement table. These will be included as empty fields in the table. Default input fields are created for the CCTALOS and ICU methods if the Include CCTALOS Fields or Include ICU Fields checkboxes are checked, respectively. Also, the user may click Add Fields to specify field names and types of his or her choosing.

## Choosing Input Files

Choosing a set of input files makes it easier to select files for Furness adjustment without having to open all of the turning movement files. You do not have to choose input files using the Choose Input Files button. The Adjust Model Output tool also provides an option for choosing input files.

When you click on the Choose Input Files button in the CCTA Utilities dialog box, the Choose Input Files dialog box, shown below, will appear. Using the Add and Remove buttons to the right of each scroll list allow you to add files to and remove files from the respective lists.



## Applying the Furness Adjustment

Future year turning movements are estimated from three inputs:

1. Base year turning movement volumes,
2. Base year model estimate turning movement volumes, and
3. Future year model estimate turning movement volumes.

From the three sets of intersection turning movements, the following steps are followed to compute the desired future year turning movement volumes:

1. Compute the total approach and departure volumes for each leg of the intersection for each set of turning movements.

2. Compute the adjusted future year approach and departure volumes as follows:
  - a. Adjusted Future Year Approach Volume =  
(Base Year Count Approach Volume) +  
(Future Year Model Approach Volume) -  
(Base Year Model Approach Volume)
  - b. Adjusted Future Year Departure Volume =  
(Base Year Count Departure Volume) +  
(Future Year Model Departure Volume) -  
(Base Year Model Departure Volume)
3. Apply the Furness adjustment to the base year turning movement counts to achieve the adjusted future year approach and departure volumes.

### *Furness Adjustment Input*

The input turning movement tables should contain a record for each turning movement. The input tables can have any number of records, storing turning movement data for any number of intersections. However, there are certain required input fields that must be in the tables in order to apply the Furness adjustment. These fields are listed below with a brief description:

- **From Link** - A field containing IDs or codes that uniquely identify each link in the network;
- **To Link** - A field containing IDs or codes that uniquely identify each link in the network;
- **Node ID** - A field containing the node ID for the intersection at which the turning movement takes place; and
- **Flow** - A field containing the volumes in vehicles/hour for the turning movement.

There can be any number of **Flow** fields in a single table to contain flow volumes for different scenarios.

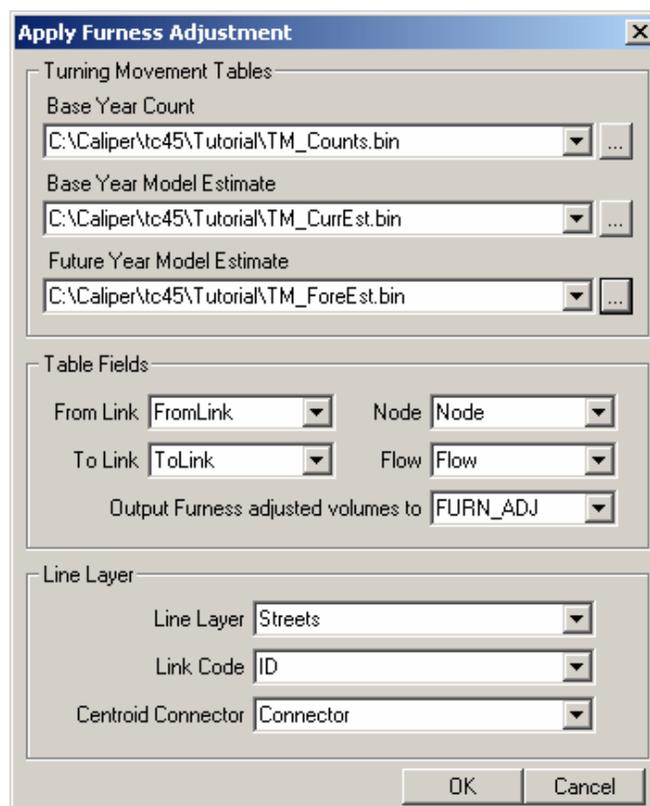
### *Furness Adjustment Steps*

To apply the Furness adjustment to model output, follow the steps below:

1. Click the Adjust Model Output button to launch the Apply Furness Adjustment dialog box.
2. If any files were selected using the Choose Input Files button, those files will appear in the drop-down lists in the Adjust Model Output dialog box. Choose the input turning

movement files from the drop-down lists in the Turning Movement Tables frame, or click the “...” button to the right of each drop-down list to browse and choose a file.

3. In the Table Fields frame, choose the names of the input fields that contain the following inputs
  - a. From Link Code/ID;
  - b. To Link Code/ID;
  - c. Node Code/ID; and
  - d. Turning movement volume (flow).

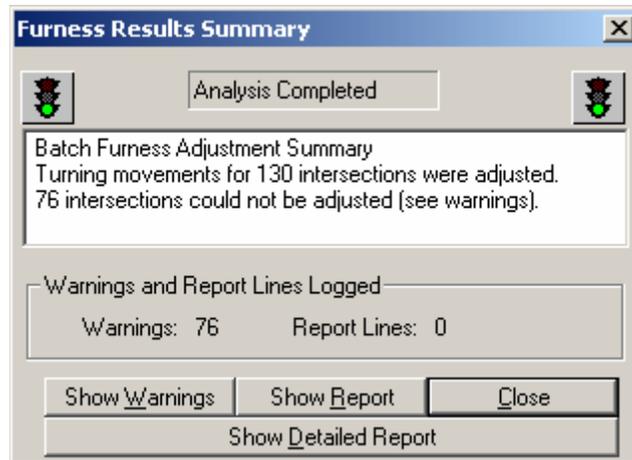


Note that all three input tables must have the four fields listed above with the same names.

1. Choose the output field in the future year turning movement table file where the adjusted future year turning movements will be stored. Any values in this field will be overwritten when the adjustment is applied.
2. In the Line Layer drop-down list, choose the subject line layer.
3. In the Link Code drop-down list, choose the line layer field containing the ID used to uniquely identify the links in the network.

4. In the Centroid Connector drop-down list, choose the field indicating whether or not links in the network are centroid connectors.
5. Click OK to execute and apply the adjustment.

When the Furness adjustment is complete, a results summary dialog box will appear. Click Show Warnings to view any warning messages generated during the adjustment. Click Show Detailed Report to view a summary of the input and adjusted turn volumes at each intersection.



## Computing Signalized Intersection Level of Service

Two methods are provided in the CCTA Console dialog box for computing signalized intersection level of service. These methods are the CCTALOS and the ICU. In the following sections, these methods and their implementation in TransCAD are described.

To compute the CCTALOS, click the Compute CCTALOS button on the CCTA Console dialog box. Likewise, to compute the ICU, click the Compute ICU button. More details are provided in the following sections.

### ■ 9.3 Computing CCTALOS

The computation of the CCTALOS has been adapted from the CCTA Technical Procedures manual. The CCTALOS is a planning method for describing the level of service at signalized intersections.

Four inputs are required for computing the CCTALOS at a signalized intersection:

1. Lane configuration and lane use,
2. Turning movement volumes,
3. Split phasing information, and
4. Number of phases.

Below is a detailed description of the implementation of CCTALOS in TransCAD.

## **About CCTALOS**

In order to calculate the CCTALOS in TransCAD, you will need three things:

1. A node layer,
2. A line layer, and
3. A movement table.

The line layer, node layer, and movement table require a number of input fields in order to facilitate the CCTALOS analysis. Below is a description of the various input fields.

### ***Movement Table Input Fields***

Data specific to a particular turning movement is stored in fields in turning movement tables. Turning movement table fields include:

- FromLink,
- ToLink,
- Flow,
- Lanes,
- Lane Use, and
- Direction.

The FromLink and ToLink fields contain unique link code/IDs that designate the approach and departure links, respectively, for the turning movement. The Flow field contains the turning movement volumes in vehicles/hour. The Lanes field contains the number of lanes used by the turning movement, and the Lane Use field contains the lane use code, as described in Table VI-III of the CCTALOS Technical Procedures manual, indicating how the lanes are used by the turning movements. All fields in the turning movement table are required with the exception of the last field, Direction.

The Direction field can be used to override the cardinal directions and turning movements determined by link geography. The value in the Direction field should be a string that

takes on one of the 12 possible values: EBL, EBT, EBR, NBL, NBT, NBR, WBL, WBT, WBR, SBL, SBT, or SBR. If a Direction field is provided, then the values in the field will be used to determine the cardinal directions of each approach link and the turning movements. If None is chosen in the Direction field, then the cardinal directions and turning movements will be calculated by TransCAD according to the geography. Furthermore, the cardinal and turn direction for each movement will be written to a field called, "CARD\_DIR," which is generated automatically. If this field already exists, the values in the field will be overwritten.

### *Line and Node Layer Input Fields*

Input data that does not pertain to a single turning movement is stored in the line and node layers associated with a line database. The line and node fields used in the CCTALOS computation are listed below:

- Node Fields:
  - Number of Phases.
- Line Layer Fields:
  - Link Code/ID,
  - Centroid Connector,
  - Split Phasing, and
  - Pedestrian Flow (optional).

The Number of Phases field contains the number of signal phases at the intersection. The Link Code/ID field contains a code/ID that uniquely identifies the link the network. The Centroid Connector field contains null and non-null values, where null indicates a real link. Records with values other than null indicate centroid connectors or other symbolic links. Turning movements that include a centroid connector are ignored in the computation. Split Phasing information is stored in a pair of AB/BA string fields containing either "Yes" or "No" to indicate whether or not split phasing is used on the approach. Finally, the number of pedestrians crossing the AB/BA end of the link at the intersection is stored in the Pedestrian Flow field. These pedestrians will interfere with vehicles turning right onto the link. The Pedestrian Flow field is used to adjust right-turn volumes and is optional.

The Split Phasing and Pedestrian Flow fields contain information that is specific to the approach to an intersection. Thus, there should be **AB** and **BA** fields for each variable in order to distinguish directionality (e.g., AB\_Field\_Name, BA\_Field\_Name).

## Results of CCTALOS Analysis

Two primary outputs are returned by the CCTALOS analysis: 1) sum total critical volume-to-capacity (VC) ratio and 2) level of service (LOS). The VC ratio determines the LOS according to the following table:

<b>Intersection Capacity Utilization (%)</b>	<b>Level of Service</b>
0 to 0.60	A
0.61 to 0.70	B
0.71 to 0.80	C
0.81 to 0.90	D
0.91 to 1.00	E
Greater than 1.00	F

The CCTALOS computation can be run in either interactive mode or batch mode. When run in interactive mode, the VC ratio and LOS results are displayed in the CCTALOS Toolbox and are updated each time an intersection is selected on the map. Using the input line layer and movement table fields, the CCTALOS tool will compute the VC ratio and LOS for a single intersection.

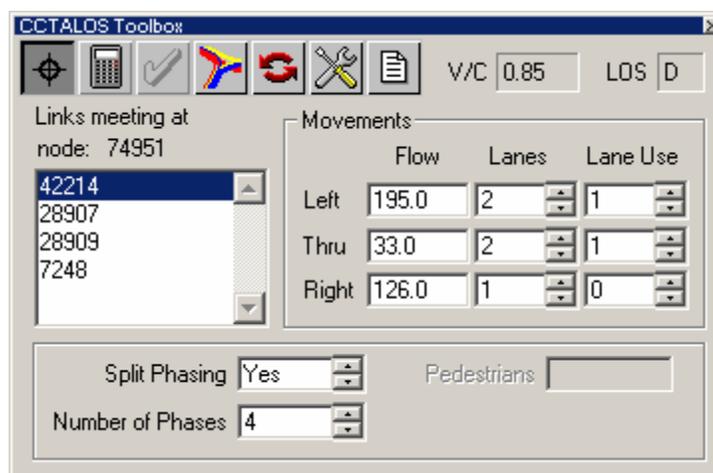
When run in batch mode, the results of the CCTALOS analysis are reported in a table that includes the following fields:

- **Field** - Contents,
- **Node** - Intersection (node) ID,
- **VCR** - Critical volume-to-capacity ratio, and
- **LOS** - Level of service.

The table is joined to the node layer using the ID field and displayed in a dataview. Alternatively, if VCR and LOS output fields in the node layer are chosen in the CCTALOS setup dialog box, the results will be written to the appropriate record in the node layer database.

## About the CCTALOS Toolbox

When you run the CCTALOS calculator in interactive mode, you use the CCTALOS Toolbox to select intersections and calculate the VC ratio and LOS.



The tools in the CCTALOS Toolbox perform the following operations:

1. Calculate the LOS by clicking on a map to select an intersection.
2. Edit the line, node, and turn movement input values for each approach to the intersection and recalculate the LOS.
3. Apply changed input values to the source tables and geographic layers.
4. Display a turning movement intersection diagram.
5. Edit the cardinal direction designations of the intersecting links.
6. Return to the CCTALOS setup dialog box.
7. View the details of the CCTALOS calculation.

The CCTALOS Toolbox tools are:

<b>Tool</b>	<b>Name</b>	<b>How to use it...</b>
	Select a node by pointing	Click on a node to compute the VC ratio and LOS
	Recalculate LOS	Click to recalculate the LOS for the selected node
	Apply changes	Apply the changes to the input variables to the source tables
	Show diagram	Display a turning movement diagram for the selected node
	Adjust cardinal directions	Modify the cardinal direction designation of the links
	Return to setup	Click to return to the CCTALOS setup dialog box
	Show detailed results	Click to show the details of the CCTALOS calculation

## Setting Up the CCTALOS Analysis

Setting up the CCTALOS analysis requires the specification of line layer fields that store approach-specific data and the movement table fields that store movement-specific information. These input fields are specified in the CCTALOS setup dialog box. The CCTALOS setup dialog box is also used to choose the analysis mode (interactive or batch) and to specify output preferences for the batch operation.

Before opening the interactive CCTALOS Toolbox, the CCTALOS setup dialog box is shown to allow the specification of input fields. The following steps are followed to set up the CCTALOS analysis.

1. Open or create a map that contains a node and a line layer.
2. Open a turning movement table.
3. Click the Compute CCTALOS button on the CCTA Console dialog box to display the CCTALOS Setup dialog box.
4. Choose the node layer containing the signalized intersections from the Intersection Layer drop-down list.
5. Enter a text description of the scenario in the Scenario Description text box. The description will appear on the detailed LOS report.

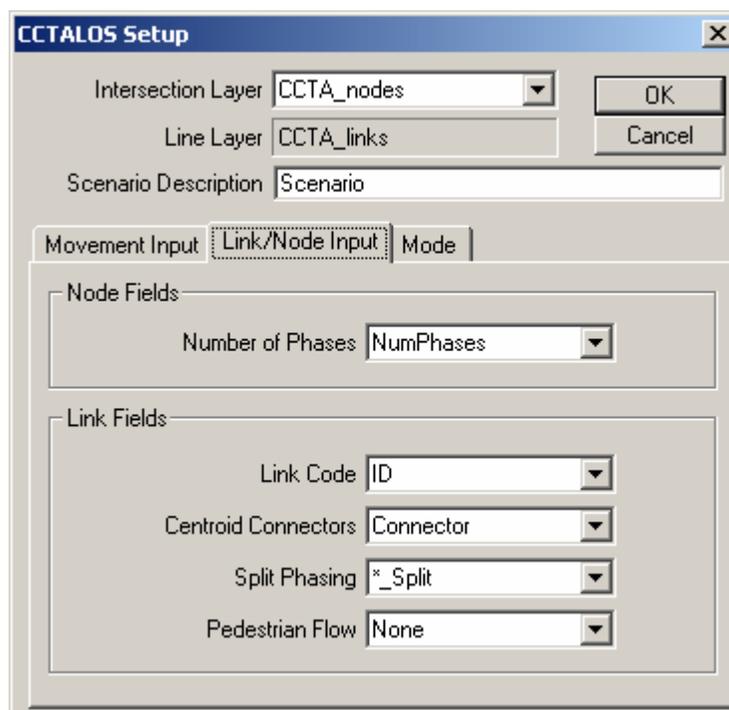
6. Make the following choices from the Movement Input tab:

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<b>To do this...</b>	<b>Do this...</b>
Choose the subject intersection layer	Choose a node layer containing the intersections of interest from the Intersection Layer drop-down list. The associated line layer is automatically displayed in the Line Layer box.
Choose the movement table	Choose a dataview containing the turning movement input from the Dataview drop-down list.
Choose to adjust turn volumes	Check the Adjust Turn Volumes checkbox. Left and right turn volumes will be adjusted to determine passenger car equivalents if the box is checked.
Choose the from link ID field	Choose the movement dataview field containing the from link codes/IDs from the From drop-down list.
Choose the to link ID field	Choose the movement dataview field containing the to link codes/IDs from the To drop-down list.
Choose the actual flow field	Choose the movement dataview field containing the actual movement flow from the Flow drop-down list.
Choose the number of lanes field	Choose the movement dataview field containing the number of lanes from the Lanes drop-down list.
Choose the lane use field	Choose the movement dataview field containing the lane use code for the turning movement.
Choose the direction field	Choose the movement dataview field containing the cardinal direction and turn movement (e.g., NBR).

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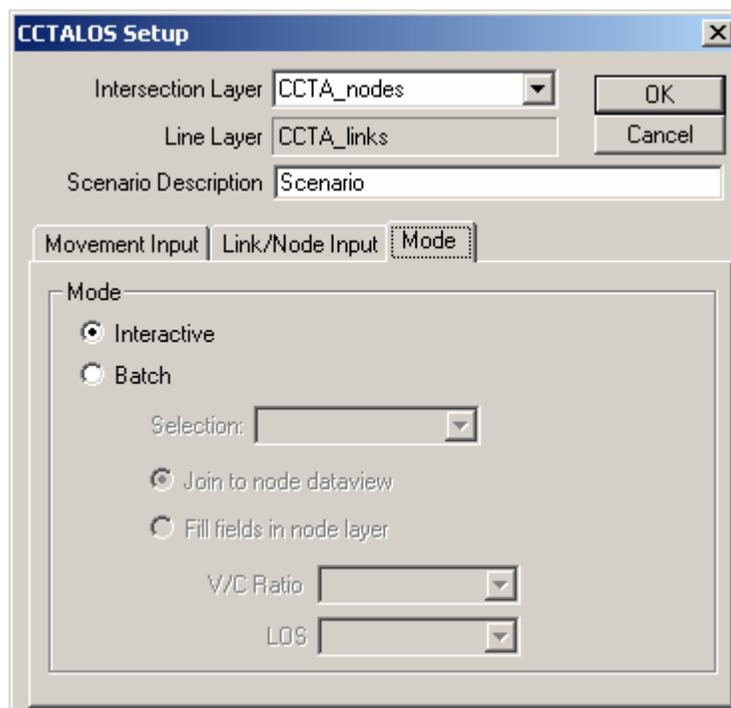
- Click the Link/Node Input tab to view and edit the fields containing link and node layer input data.



- Make the following choices from the Link/Node Input tab:

To do this...	Do this...
Choose the number of phases field	Choose the node layer field containing the number of phases from the Number of Phases drop-down list.
Choose the free right field	Choose the line layer field containing the link code/ID from the Link Code drop-down list.
Choose the centroid connector field	Choose the line layer field containing the centroid connector variable from the Centroid Connectors drop-down list.
Choose the split phasing field	Choose the directional line layer fields containing the split phasing indications from the Split Phasing drop-down list.
Choose the pedestrian flow field	Choose the directional line layer fields containing the hourly pedestrian crossing volume from the Pedestrian Flow drop-down list.

- Click the Mode tab to view and edit the mode (interactive or batch) options.



- Make the following choices in the Mode tab to finish setting up the CCTALOS analysis:

To do this...	Do this...
Choose to analyze LOS in interactive mode	Click the Interactive radio button in the Mode frame.
Choose to analyze LOS in batch mode	Click the Batch radio button in the Mode frame.
Choose to a selection of nodes for batch mode	Be sure that the Batch radio button is chosen. Choose a selection set from the Selection drop-down list.
Join the results table to the node layer	Be sure that the Batch radio button is chosen. Click the Join to node dataview radio button.
Write the results to node layer fields	Be sure that the Batch radio button is chosen. Click the Fill fields in node layer radio button.

To do this...	Do this...
Choose node layer fields for the LOS results	Be sure that the Batch radio button is chosen. Choose the target node layer field for the VC ratio values from the V/C Ratio drop-down list. Choose the target node layer field for the LOS values from the LOS drop-down list.

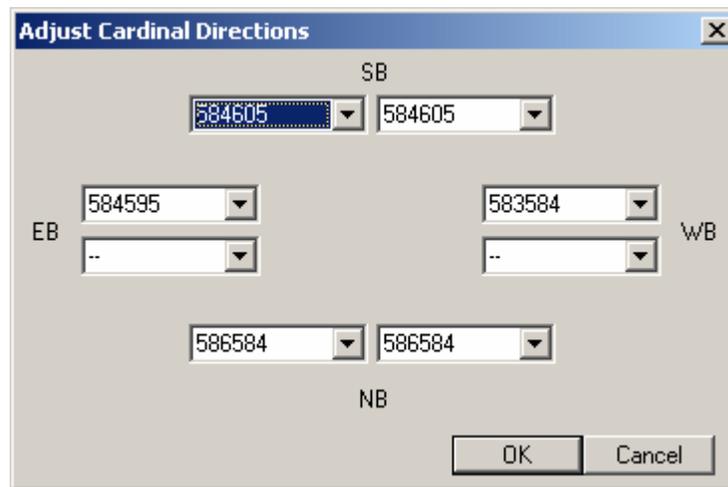
- Click OK. TransCAD saves the settings and closes the CCTALOS Setup dialog box. TransCAD will use these settings for all future CCTALOS computations until you change them or exit the CCTALOS Toolbox.
- Continue with one of the following procedures:

If you chose ...	Use the procedure...
Interactive mode	To Analyze One Intersection at a Time Through the Interactive CCTALOS Toolbox
Batch mode	To Analyze a Selection Set of Intersections in Batch Mode

◆ **To Analyze One Intersection at a Time Through the Interactive CCTALOS Toolbox:**

- Follow the procedure, To Set Up the CCTALOS Analysis, above, making sure to choose Interactive from the Mode radio list in the Mode tab.
- To choose an intersection for CCTALOS analysis, click  in the CCTALOS Toolbox and click on the map. The nearest intersection to the clicked point will be selected, and the VC ratio and LOS values will be evaluated and displayed in the toolbox.
- The inputs for each incoming link and turning movement, which are initially drawn from the node layer, line layer, and movement table fields specified in the CCTALOS Setup dialog box, can be directly modified inside the CCTALOS Toolbox. By selecting different approach link codes/IDs in the scroll list, you can edit the values for each approach link. After making changes to these inputs, click  if you want to apply these changes to the source tables. Click  to recalculate the VC ratio and LOS.
- Click  to open a turning movement diagram displaying the turning movement volumes and the approach/ departure link codes/IDs.

- Click  to view and edit the cardinal directions of the intersecting links. The Adjust Cardinal Directions dialog box will appear, which displays an inbound and outbound drop-down list for each cardinal direction (EB, NB, WB, SB). For each cardinal approach, choose the ID of the appropriate link from the corresponding drop-down list. If a bi-directional link is selected, it will automatically occupy both the inbound and outbound drop-down lists. If a one-way link is selected, it will automatically be shown in the appropriate drop-down list (i.e., inbound or outbound). For a one-way street, a “-” can be displayed for the opposite direction. The inbound and outbound components of a given cardinal direction can be represented by separate one-way links. One must take care when adjusting cardinal directions, because TransCAD will not check the settings with the intersection geography to ensure consistency.



- Once a valid intersection has been chosen and the CCTALOS has been computed, click  to view the details of the calculation.
- Click  at any time to return to the CCTALOS Setup dialog box. After reviewing and/or editing the inputs in the CCTALOS Setup dialog box, click OK, and return to Step 2.
- When you have finished your analysis, close the toolbox by clicking the close box in the upper-right corner of the toolbox.

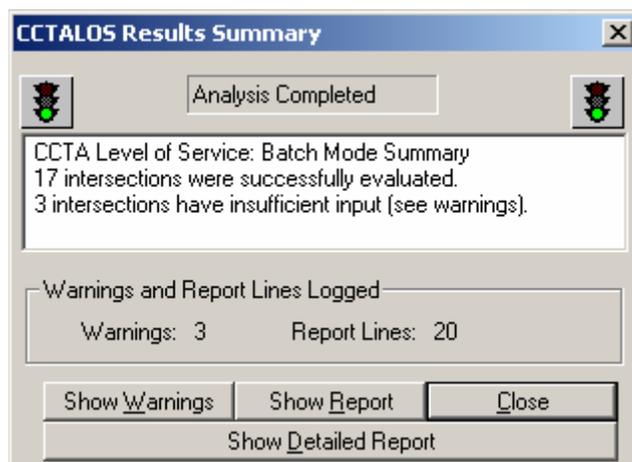
TransCAD closes the CCTALOS Toolbox.

◆ **To Analyze a Selection Set of Intersections in Batch Mode:**

- Follow the procedure, To Set Up the CCTALOS Analysis, above, making sure to choose Batch from the Mode radio list and a selection set from the Selection drop-down list.

2. Choose an output option. To have a table of node IDs, VC ratio values and LOS values joined to the node layer, click the Join to node dataview radio button. To have VC ratio and LOS values filled in pre-existing fields in the node layer, click the Fill fields in node layer radio button, and choose the node layer VC ratio and LOS fields from the respective drop-down lists.
3. Click OK.
4. If you chose to join an output table to the node layer dataview, the Save As dialog box is displayed. Type a name for the table and click Save. If you chose to have the results filled into node layer fields, then the computation automatically begins.

TransCAD performs the CCTALOS analysis. If you chose to join the results table to the node layer, TransCAD builds a table of results and joins it to the node layer view. The resulting joined view is displayed in a dataview. Alternatively, if you chose to have the results written to previously existing node layer fields, TransCAD evaluates the VC ratio and LOS for each intersection in the selection set and fills the values in the appropriate fields. TransCAD then opens the node layer view in a dataview. TransCAD also launches a CCTALOS Results Summary dialog box displaying a summary of the results, including the number of intersections evaluated. Click the Show Warnings button to see any warnings that were generated during the computation. Click Show Report to see a more detailed summary.



The user may also click Show Detailed Report to view the details of the CCTALOS computation at each intersection:

\*\*\*\*\*  
 Detailed CCTALOS results for intersection 578:

MOVEMENT	VOL	ADJ	VOL	CAP	V/C	CRITICAL
NB RIGHT (R)	130		130	1720	0.0758	
THRU (T)	376		376	1720	0.2184	
LEFT (L)	429		429	1720	0.2494	0.2494
EB THRU (T)	164		164	1720	0.0953	0.0953
LEFT (L)	9		9	1720	0.0052	
WB RIGHT (R)	28		28	1720	0.0161	
THRU (T)	570		570	1720	0.3312	0.3312
-----						
TOTAL V/C =	0.68					
LOS =	B					

## ■ 9.4 Computing Intersection Capacity Utilization

Intersection Capacity Utilization (ICU) is a measure of the level of service at a signalized intersection. The ICU method, which is analogous to the volume-to-capacity ratio at an intersection, identifies the critical movement on each approach to an intersection, and compares the demand for the critical movements to the time required to serve those movements at 100 percent capacity. The ICU method was originally proposed in 1974 by Robert Crommelin. The updated ICU 2000 method, which is used in this tool, was developed by Trafficware Corporation and is an updated version of Crommelin's ICU. The ICU method was developed for use in planning applications, and was not intended for use in operational design.

The ICU methodology requires a variety of detailed input about turning movements, lane use, and vehicle demand. For instance, the analysis uses adjustments for saturation flow rates and lost time and volume that are consistent with the 2000 Highway Capacity Manual (HCM) explicitly treats permitted lefts and left/through shared lanes, accounts for minimum green times, pedestrian timing requirements and pedestrian interference, and supports free right turns, overlapping right turn phases, and right turns on red.

ICU analysis in TransCAD requires a node layer that contains the intersections to be evaluated, a line layer that includes detailed information about the approach to each intersection, and a movement table that includes information specific to each movement at an intersection. Some of the fields in the movement table are direct outputs from a Traffic Assignment procedure. Other fields may have to be added in order to compute the ICU.

## About Intersection Capacity Utilization

In order to calculate the ICU in TransCAD, you will need three things:

1. A node layer,
2. A line layer, and
3. A movement table

The node layer need only contain the nodes representing signalized intersections adjoining link features represented by the line layer. The line layer and movement table, however, require a number of input fields in order to facilitate the ICU analysis. Below is a description of the various input fields.

### *Movement Table Input Fields*

The movement table contains information that is specific to each movement at an intersection. The basic movement table inputs are as follows:

- From link ID,
- To link ID, and
- Turning movement flow.

These fields can be generated during a Traffic Assignment procedure when the option to report turns is chosen. These fields identify the approaching (from) link, the departing (to) link, and the hourly flow for the movement. The ICU computation uses other fields in the movement table, including the following:

- Number of lanes,
- Saturation flow,
- Peak-hour factor (optional),
- Lost time (optional), and
- Direction (optional).

The number of lanes is the number of lanes dedicated to a given movement. However, where there are lanes shared by through and left- or right-turning movements, the lane should be counted only once with the through movement. The saturation flow is the saturated flow rate in vehicles per hour. The peak-hour factor and lost time fields are optional. If the fields are not specified, then global values entered in the ICU setup dialog box are used. The peak-hour factor is defined as:

$$PHF = \text{Volume}_{60} / (\text{Volume}_{15} * 4),$$

Where,  $\text{Volume}_{60}$  is the peak 60-minute volume and  $\text{Volume}_{15}$  is the peak 15-minute volume. Where data is unavailable, a PHF value of 0.9 is recommended. The lost time should be greater than or equal to the travel time in seconds required for a movement to traverse the intersection. Typically, a value of four seconds is used.

The Direction field can be used to override the cardinal directions and turning movements determined by link geography. The value in the Direction field should be a string that takes on one of the 12 possible values: EBL, EBT, EBR, NBL, NBT, NBR, WBL, WBT, WBR, SBL, SBT, or SBR. If a Direction field is provided, then the values in the field will be used to determine the cardinal directions of each approach link and the turning movements. If None is chosen in the Direction field, then the cardinal directions and turning movements will be calculated by TransCAD according to the geography. Furthermore, the cardinal and turn direction for each movement will be written to a field called, **CARD\_DIR**, which is generated automatically. If this field already exists, the values in the field will be overwritten.

### *Line Layer Input Fields*

The line layer contains information that is specific to the approach to an intersection. Thus, there should be AB and BA fields for each input in order to distinguish directionality. The exception is centroid connector field, which should contain null and non-null values, where null indicates a real link. Records with values other than null indicate centroid connectors or other symbolic links. The required directional line layer fields include the following:

- Left/through shared lane,
- Free right,
- Pedestrian volume,
- Pedestrian timing required, and
- Pedestrian button.

The left/through shared lane field contains a “1” if the approach has left and through movements that share a lane and a “0” otherwise. The free right field contains a “1” if the right turn on the approach has a dedicated right-turn receiving lane, thus, allowing right-turning vehicles to proceed during any phase and a “0” otherwise. The pedestrian volume is the number of pedestrians per hour crossing the link at the intersection. The pedestrian timing required is the length of time in the cycle dedicated to permitting pedestrians to cross the street. Finally, the pedestrian button field contains a “1” if the pedestrians must push a button to request a pedestrian phase, and a “0” otherwise, indicating that a pedestrian phase is built in to the signal timing plan at regular intervals.

## Results of ICU Analysis

Two primary outputs are returned by the ICU analysis: 1) intersection capacity utilization (percent) and 2) level of service (LOS). The ICU may be interpreted as the percentage of the intersection capacity being utilized by the prevailing demand. Thus, an ICU value less than 100 percent indicates surplus capacity, and an ICU value greater than 100 percent indicates an overloaded intersection. The ICU determines the LOS according to the following table:

Intersection Capacity Utilization (%)	Level of Service
0 to 60	A
60 to 70	B
70 to 80	C
80 to 90	D
90 to 100	E
100 to 110	F
110 to 120	G
Greater than 120	H

The ICU computation can be run in either interactive mode or batch mode. When run in interactive mode, the ICU and LOS results are displayed in the ICU Toolbox and are updated each time an intersection is selected on the map. Using the input line layer and movement table fields, the ICU tool will compute the ICU and LOS for a single intersection.

When run in batch mode, the results of the ICU analysis are reported in a table that includes the following fields:

- **Field** - Contents,
- **Node ID** - Intersection (node) ID,
- **ICU** - Intersection Capacity Utilization in percent, and
- **LOS** - Level of service.

The table is joined to the node layer using the ID field and displayed in a dataview. Alternatively, if ICU and LOS output fields in the node layer are chosen in the ICU setup dialog box, the results will be written to the appropriate record in the node layer database.

## About the ICU Toolbox

When you run the ICU calculator in interactive mode, you use the ICU Toolbox to select intersections and calculate the ICU and LOS:



The tools in the ICU Toolbox allow you to perform the following operations:

1. Calculate the ICU by pointing and clicking on a map to select an intersection.
2. Edit the approach-based input values for each approach to the intersection and recalculate the ICU.
3. Return to the ICU setup dialog box to view or edit various input variables and parameters.

The ICU Toolbox tools are:

Tool Name	How to use it...
 Select a node by pointing	Click on a node to compute the ICU and LOS
 Recalculate ICU	Click to recalculate the ICU for the selected node
 Apply changes	Apply the changes to the input variables to the source tables
 Show diagram	Display a turning movement diagram for the selected node

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Tool Name	How to use it...
	Adjust cardinal directions Modify the cardinal direction designation of the links
	Return to setup Click to return to the ICU setup dialog box

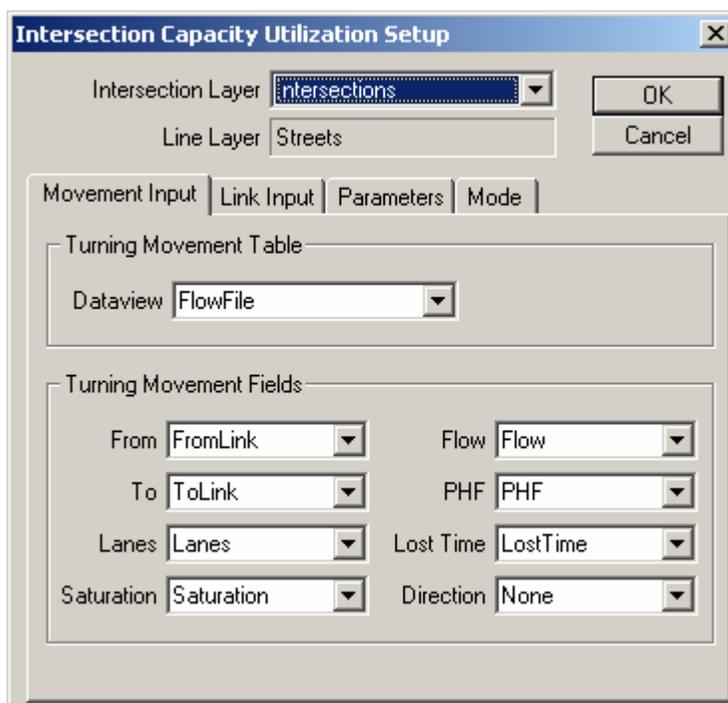
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## Setting Up the ICU Analysis

Setting up the ICU analysis requires the specification of line layer fields that store approach-specific data and the movement table fields that store movement-specific information. These input fields are specified in the ICU setup dialog box. The ICU setup dialog box is also used to view and edit default global input parameters, to choose the analysis mode (interactive or batch), and to specify output preferences for the batch operation.

Before opening the interactive ICU Toolbox, the ICU setup dialog box is shown to allow the specification of inputs and parameters. The following steps are followed to set up the ICU analysis.

1. Open or create a map that contains a node and a line layer.
2. Open a turning movement table.
3. Click the Compute ICU button on the CCTA Console dialog box to display the Intersection Capacity Utilization Setup dialog box.



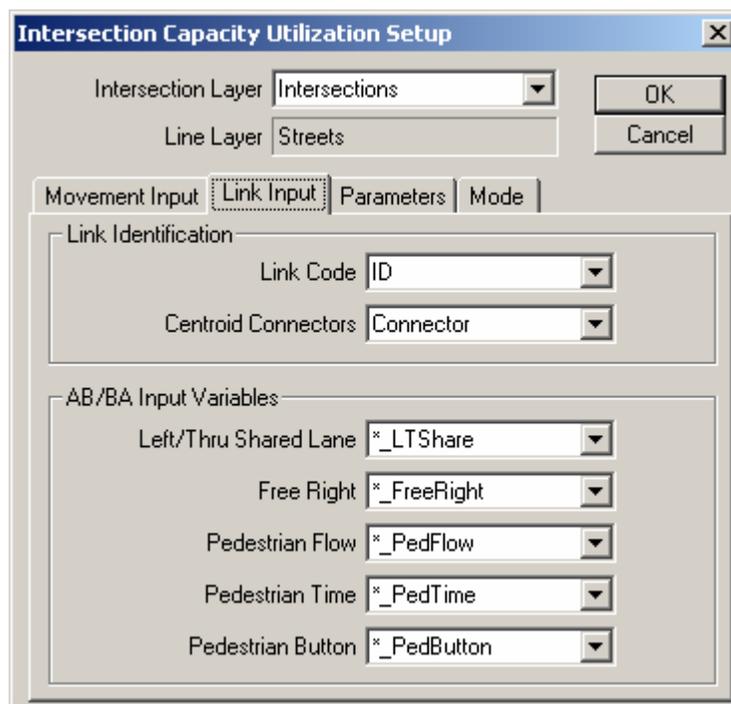
4. Make the following choices from the Movement Input tab:

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<b>To do this...</b>	<b>Do this...</b>
Choose the subject intersection layer	Choose a node layer containing the intersections of interest from the Intersection Layer drop-down list. The associated line layer is automatically displayed in the Line Layer box.
Choose the movement table	Choose a dataview containing the movement-specific input from the Dataview drop-down list.
Choose the from link ID field	Choose the movement dataview field containing the from link IDs from the From drop-down list.
Choose the to link ID field	Choose the movement dataview field containing the to link IDs from the To drop-down list.
Choose the number of lanes field	Choose the movement dataview field containing the number of lanes from the Lanes drop-down list.
Choose the saturation flow field	Choose the movement dataview field containing the saturation flow from the Saturation drop-down list.
Choose the actual flow field	Choose the movement dataview field containing the actual movement flow from the Flow drop-down list.
Choose the peak hour factor field	Choose the movement dataview field containing the peak hour factor from the PHF drop-down list.
Choose the lost time field	Choose the movement dataview field containing the lost time from the Lost Time drop-down list.

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- Click on the Link Input tab to view and edit the line layer input fields.



- Make the following choices from the Link Input tab:

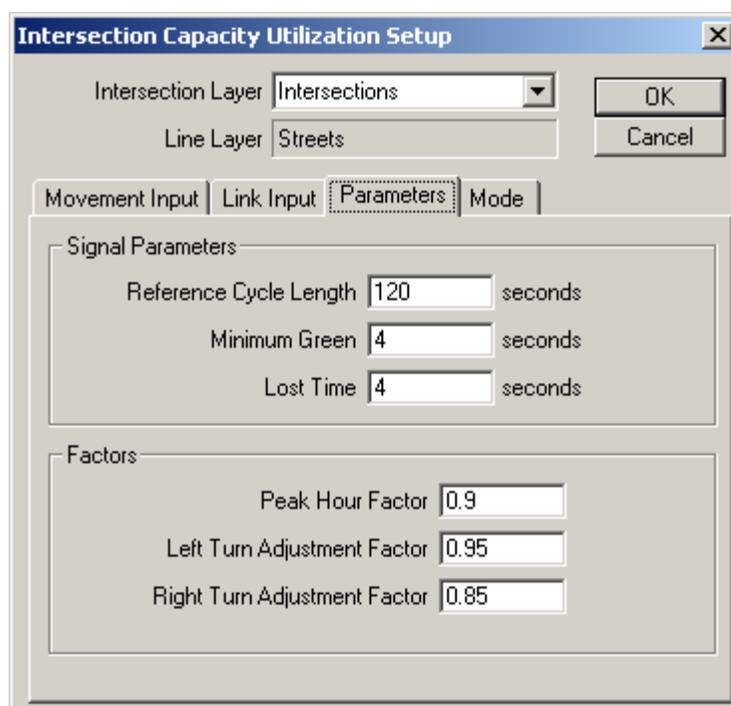
To do this...	Do this...
Choose the link code/ ID field	Choose the line layer field containing the link code/ID from the Link Code drop-down list.
Choose the centroid connector field	Choose the line layer field containing the centroid connector variable from the Centroid Connectors drop-down list.
Choose the left/ through lane field	Choose the directional line layer fields containing the left/through shared lane variable from the L/T Shared Lane drop-down list.
Choose the free right field	Choose the directional line layer fields containing the free right variable from the Free Right drop-down list.
Choose the pedestrian flow field	Choose the directional line layer fields containing the hourly pedestrian crossing volume from the Pedestrian Flow drop-down list.

---

To do this...	Do this...
Choose the pedestrian timing field	Choose the directional line layer fields containing the required pedestrian timings from the Pedestrian Time drop-down list.
Choose the pedestrian button field	Choose the directional line layer fields containing the pedestrian button variable from the Pedestrian Button drop-down list.

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7. Click the Parameters tab to view and edit the default global parameters.



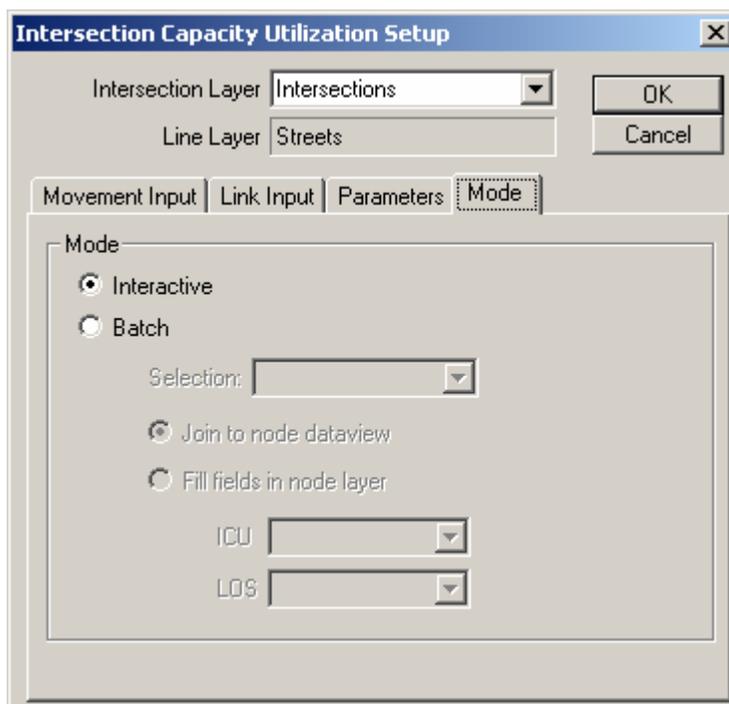
8. Make the following choices in the Parameters tab:

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<b>To do this...</b>	<b>Do this...</b>
Edit the reference cycle length	Click in the Reference Cycle Length edit box and type a value.
Edit the global minimum green time	Click in the Minimum Green edit box and type a value. Only a global minimum green time may be specified and will be applied to all movements.
Edit the (optional) global lost time	Click in the Lost Time edit box and type a value. If a movement dataview field for lost times is specified in the Input Fields tab, then the global lost time value will be ignored.
Edit the (optional) peak hour factor	Click in the Peak Hour Factor edit box and type a value. If a movement dataview field for peak-hour factors is specified in the Input Fields tab, then the global phf value will be ignored.
Edit the left turn adjustment factor	Click in the Left Turn Adjustment Factor edit box and type a value. Only a global left turn adjustment factor may be specified and will be applied to all left turn movements.
Edit the right turn adjustment factor	Click in the Right Turn Adjustment Factor edit box and type a value. Only a global right turn adjustment factor may be specified and will be applied to all right turn movements.

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9. Click the Mode tab to view and edit the mode (interactive or batch) options.



10. Make the following choices in the Mode tab to finish setting up the ICU analysis:

To do this...	Do this...
Choose to analyze ICU in interactive mode	Click the Interactive radio button in the Mode frame.
Choose to analyze ICU in batch mode	Click the Batch radio button in the Mode frame.
Choose to a selection of nodes for batch mode	Be sure that the Batch radio button is chosen. Choose a selection set from the Selection drop-down list.
Join the results table to the node layer	Be sure that the Batch radio button is chosen. Click the Join to node dataview radio button.

To do this...	Do this...
Write the results to node layer fields	Be sure that the Batch radio button is chosen. Click the Fill fields in node layer radio button.
Choose node layer fields for the ICU results	Be sure that the Batch radio button is chosen. Choose the target node layer field for the ICU values from the ICU drop-down list. Choose the target node layer field for the LOS values from the LOS drop-down list.

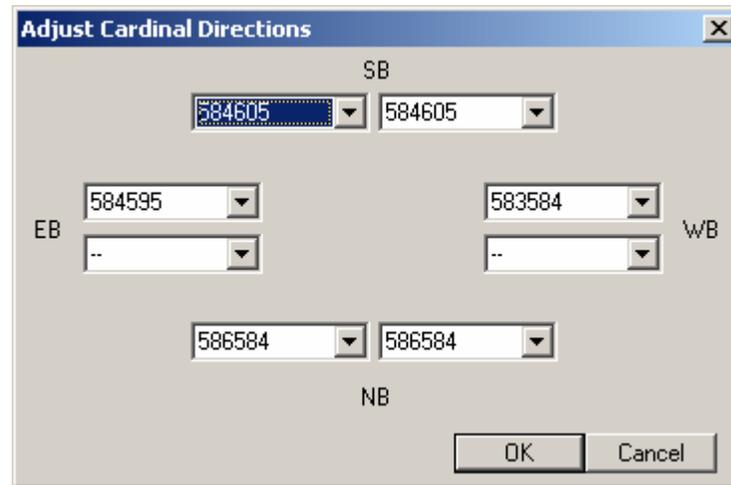
- Click OK. TransCAD saves the settings and closes the ICU Setup dialog box. TransCAD will use these settings for all future ICU computations until you change them or exit the ICU Toolbox.
- Continue with one of the following procedures:

If you chose ...	Use the procedure...
Interactive mode	To Analyze One Intersection at a Time Through the Interactive ICU Toolbox
Batch mode	To Analyze a Selection Set of Intersections in Batch Mode

◆ **To Analyze One Intersection at a Time Through the Interactive ICU Toolbox:**

- Follow the procedure, **To Set Up the ICU Analysis**, above, making sure to choose Interactive from the Mode radio list in the Options tab.
- To choose an intersection for ICU analysis, click  in the ICU Toolbox and click on the map. The nearest intersection to the clicked point will be selected, and the ICU and LOS values will be evaluated and displayed in the toolbox.
- The inputs for each incoming link and turning movement, which are initially drawn from the node layer, line layer, and movement table fields specified in the ICU Setup dialog box, can be directly modified inside the ICU Toolbox. By selecting different approach link codes/IDs in the scroll list, you can edit the values for each approach link. After making changes to these inputs, click  if you want to apply these changes to the source tables. Click  to recalculate the ICU and LOS.
- Click  to open a turning movement diagram displaying the turning movement volumes and the approach/departure link codes/IDs.

5. Click  to view and edit the cardinal directions of the intersecting links. The Adjust Cardinal Directions dialog box will appear, which displays an inbound and outbound drop-down list for each cardinal direction (EB, NB, WB, SB).



For each cardinal approach, choose the ID of the appropriate link from the corresponding drop-down list. If a bi-directional link is selected, it will automatically occupy both the inbound and outbound drop-down lists. If a one-way link is selected, it will automatically be shown in the appropriate drop-down list (i.e., inbound or outbound). For a one-way street, a “- -” should be displayed for the opposite direction. The inbound and outbound components of a given cardinal direction can be represented by separate one-way links. One must take care when adjusting cardinal directions, because TransCAD will not check the settings with the intersection geography to ensure consistency.

6. Click  at any time to return to the ICU Setup dialog box. After reviewing and/or editing the inputs and parameters in the ICU Setup dialog box, click OK, and return to Step 2.
7. When you have finished your analysis, close the toolbox by clicking the close box in the upper right corner of the toolbox.

TransCAD closes the ICU Toolbox.

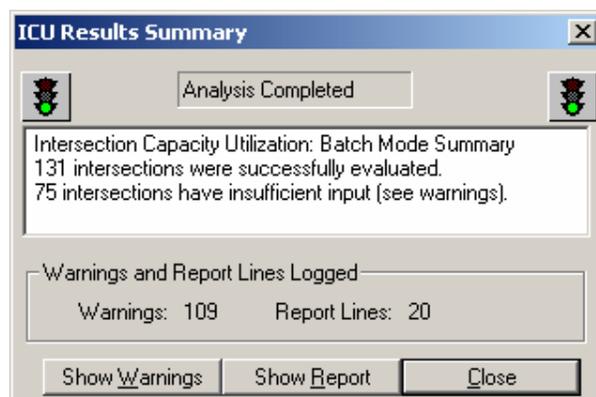
◆ **To Analyze a Selection Set of Intersections in Batch Mode:**

1. Follow the procedure, **To Set Up the ICU Analysis**, above, making sure to choose Batch from the Mode radio list and a selection set from the Selection drop-down list.
2. Choose an output option. To have a table of node IDs, ICU values and LOS values joined to the node layer, click the Join to node dataview radio button. To have ICU and LOS values filled in preexisting fields in the node layer, click the Fill fields in

node layer radio button and choose the node layer ICU and LOS fields from the respective drop-down lists.

3. Click OK.
4. If you chose to join an output table to the node layer dataview, the Save As dialog box is displayed. Type a name for the table and click Save. If you chose to have the results filled into node layer fields, then the computation automatically begins.

TransCAD performs the ICU analysis. If you chose to join the results table to the node layer, TransCAD builds a table of results and joins it to the node layer view. The resulting joined view is displayed in a dataview. Alternatively, if you chose to have the results written to previously existing node layer fields, TransCAD evaluates the ICU and LOS for each intersection in the selection set and fills the values in the appropriate fields. TransCAD then opens the node layer view in a dataview. TransCAD also launches a ICU Results Summary dialog box displaying a summary of the results, including the number of intersections evaluated. Click the Show Warnings button to see any warnings that were generated during the computation. Click Show Report to see a more detailed summary.



## Technical Notes on ICU Analysis

Intersection Capacity Utilization derives from a complex algorithm designed to account for a variety of factors affecting signal performance. The ICU methodology, and likewise its implementation in TransCAD, relies on a number of underlying assumptions and complex calculations. Therefore, the user should be aware of a few technical notes regarding the procedure.

### *Overview of ICU Calculation*

The objective of the ICU methodology is to characterize in a general and consistent way the capacity of an intersection in terms of the amount of time needed to serve critical movements, and to relate that capacity to the prevailing demand at the intersection.

Therefore, the primary calculation in the ICU method is that of a reference time for each movement. The reference time is the amount of time required to serve a given movement at 100 percent capacity. Reference times are adjusted to account for pedestrian times, pedestrian interference, minimum green times, and lost time. Thus, the methodology attempts to account for all possible factors that may delay a given movement (e.g., pedestrians crossing an adjacent link interfere with right turning traffic) without explicitly calculating intersection delay.

The method does not use a signal timing plan to determine the ICU. Thus, the global reference cycle length need not be the actual cycle length at which the signal operates. Furthermore, it is important that the reference cycle length be the same for all intersections considered within a given analysis or project. The reference cycle length merely provides a consistent baseline, or reference point, for judging and comparing the level of service at signalized intersections.

Three alternate ICU methods are evaluated in the ICU methodology: one that considers protected left turns, a second that considers split phasing, and a third that assumes permitted left turns. Before the permitted and protected left turn methods are evaluated, certain conditions must be met. For instance, the protected left method is not calculated if there is a left/through shared lane. The split timing method is always available. An adjusted reference time is calculated for each available left turn option. The methodology then singles out the most favorable of the available left turn options in order to characterize the maximum potential capacity at the intersection. Finally, the ICU is computed as the ratio of the sum of the critical adjusted reference times to the reference cycle length.

### ***TransCAD Requirements for ICU Analysis***

The calculation of ICU in TransCAD requires that a few conditions be met in order for the ICU analysis to be successful. These requirements are as follows:

1. There must be at least one through movement at the intersection,
2. There must be more than one approaching link to the intersection, and
3. Links that are denoted as centroid connectors are not considered in the analysis.

The third requirement can be bypassed. If a centroid connector is also a real roadway link, then a "0" should be present in the Centroid Connector field in the line layer database. If a "1" is present in the Centroid Connector field, then flow to and from the link will be ignored in the analysis.

Some assumptions are made about the units in the input fields. First, the units of time in the lost time and pedestrian timing fields are assumed to be seconds. Second, the units in the saturation field in the movement dataview are assumed to be vehicles per hour per lane. Third, the units in the actual flow field in the movement dataview are assumed to be vehicles per hour. Finally, the units in the pedestrian flow field are assumed to be pedestrians per hour.